Edgar Filing: SENSIENT TECHNOLOGIES CORP - Form 4

SENSIENT TECHNOLOGIES CORP

Form 4

Stock

Stock

Common

Common

December 10, 2015

| December | 10, 2015 | | | | | | | |
|---|---|---|---|--|---|--|--|--|
| FORM | ЛД | | | | | PPROVAL | | |
| _ | UNITED | | URITIES AND EXCHA Vashington, D.C. 20549 | NGE COMMISSION | OMB Number: | 3235-0287 | | |
| Check to if no lo | nger | | | | Expires: | January 31, 2005 | | |
| subject Section Form 4 | to STATE ! 16. | MENT OF CH | AL OWNERSHIP OF | Estimated burden hou response | average urs per | | | |
| obligati may co | Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | |
| (Print or Type | e Responses) | | | | | | | |
| 1. Name and Address of Reporting Person ** Collopy John | | | ssuer Name and Ticker or Tradi ool SIENT TECHNOLOGIE | Issuer | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | RP [SXT] | (Chec | ck all applicabl | e) | | |
| (Last) | | (Mon | te of Earliest Transaction th/Day/Year) 9/2015 | Director _X_ Officer (give below) | e title Oth | % Owner ner (specify | | |
| 777 EAST WISCONSIN AVENUE (Street) | | | | | V.P. and Treasurer 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| | | | Amendment, Date Original (Month/Day/Year) | Applicable Line) | | | | |
| MILWAU | KEE, WI 53202 | | | Form filed by M Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Secu | rities Acquired, Disposed of | f, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, i any (Month/Day/Year | Code (Instr. 3, 4 and 5 | of (D) Securities O) Beneficially Fe Owned D Following or Reported (I | wnership Indoorm: Be virect (D) Over Indirect (Ir | Nature of direct eneficial wnership astr. 4) | | |
| Common Stock | 12/09/2015 | | $_{\mathbf{F}^{(1)}}$ 1,750 $_{\mathbf{D}}$ | \$ 42,702.688 D |) | | | |
| Common Stock | | | | 2,259.725 I | ES | SOP (3) | | |

753.158

426.151

Ι

I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Savings Plan

Supplemental

Benefit Plan

(5)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Deninumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|--------------------------------------|---|--|---|------------------|--------------------|---|--------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amoun or Numbe of Shares |
| Performance Stock Unit | <u>(6)</u> | | | | | <u>(7)</u> | <u>(7)</u> | Common Stock | 4,600 |
| Performance Stock Unit | <u>(6)</u> | | | | | (8) | (8) | Common Stock | 5,100 |
| Performance Stock Unit | <u>(6)</u> | | | | | (9) | <u>(9)</u> | Common Stock | 3,300 |
| Stock Options (Right to Buy) | \$ 19.03 | | | | | 02/10/2007(10) | 02/10/2016 | Common Stock | 4,000 |
| Stock Options (Right to Buy) | \$ 24.15 | | | | | 12/07/2007(10) | 12/07/2016 | Common Stock | 1,875 |

Reporting Owners

| Reporting Owner Name / Address | Keiauonsnips | | | | | |
|--------------------------------|--------------|-----------|--------------------|-------|--|--|
| . 0 | Director | 10% Owner | Officer | Other | | |
| Collopy John | | | | | | |
| 777 EAST WISCONSIN AVENUE | | | V.P. and Treasurer | | | |
| MILWAUKEE WI 53202 | | | | | | |

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Signatures

/s/ John L. Hammond, Attorney-in-Fact for Mr. Collopy

12/10/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld to cover tax withholding in connection with the vesting of a prior restricted stock grant.
- (2) Includes shares of restricted stock held under Issuer's 2002 Stock Option Plan and Issuer's 2007 Stock Plan, and shares held in a dividend reinvestment plan.
- (3) Represents shares held in Issuer's ESOP as of the end of the month immediately preceding this filing.
- (4) Represents shares held in Issuer's Savings Plan as of the end of the month immediately preceding this filing.
- (5) Represents shares held in Issuer's Supplemental Benefit Plan as of the end of the month immediately preceding this filing.
- (6) Each performance stock unit represents a contingent right to receive one share of Issuer's Common Stock.
 - Represents grant of performance stock units under Issuer's 2007 Stock Plan. The award is eligible to vest following a three year performance period (from January 1, 2016 through December 31, 2018) as follows: (1) 70% of the award is eligible to vest upon achievement of certain performance criteria based on EBIT growth, and (2) 30% of the award is eligible to vest upon achievement of certain performance criteria based on return on invested capital. Subject to certain continued employment conditions and subject to accelerated vesting in certain circumstances, the actual number of shares earned will be determined and vest following the three year performance period. The number of shares reflected is at the target award amount. No performance stock units will vest below a
- accelerated vesting in certain circumstances, the actual number of shares earned will be determined and vest following the three year performance period. The number of shares reflected is at the target award amount. No performance stock units will vest below a minimum level of performance. At or above the minimum level of performance, the actual number of shares earned may range from 0% to 150% of the target award amount.

Represents grant of performance stock units under Issuer's 2007 Stock Plan. The award is eligible to vest following a three year

performance period (from January 1, 2015 through December 31, 2017) as follows: (1) 70% of the award is eligible to vest upon achievement of certain performance criteria based on EBIT growth, and (2) 30% of the award is eligible to vest upon achievement of certain performance criteria based on return on invested capital. Subject to certain continued employment conditions and subject to accelerated vesting in certain circumstances, the actual number of shares earned will be determined and vest following the three year performance period. The number of shares reflected is at the target award amount. No performance stock units will vest below a minimum level of performance. At or above the minimum level of performance, the actual number of shares earned may range from 0% to 150% of the target award amount.

Represents grant of performance stock units under Issuer's 2007 Stock Plan. The award is eligible to vest following a two year

- performance period (from January 1, 2014 through December 31, 2015) as follows: (1) 70% of the award is eligible to vest upon achievement of certain performance criteria based on EBIT growth, and (2) 30% of the award is eligible to vest upon achievement of certain performance criteria based on return on invested capital. Subject to certain continued employment conditions and subject to accelerated vesting in certain circumstances, the actual number of shares earned will be determined following the two year performance period and will vest on the third anniversary of the original grant date. The number of shares reflected is at the target award amount. No performance stock units will vest below a minimum level of performance. At or above the minimum level of performance, the actual number of shares earned may range from 50% to 150% of the target award amount.
- (10) Original option grant vests in three equal annual installments beginning on the date listed in the "Date Exercisable" column.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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