STANLEY BLACK & DECKER, INC.

Form 4

August 03, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

response...

See Instruction

(Middle)

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

McChesney Lee B

(Last)

2. Issuer Name and Ticker or Trading

Symbol

STANLEY BLACK & DECKER, INC. [SWK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify

07/30/2015

below) CFO-GTS(incl Licensing & BD)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### NEW BRITAIN, CT 06053

1000 STANLEY DRIVE

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                    |              |            |  |  |  |  |
|--------------------------------------|---|--|--|------------------------------------|--------------|------------|--|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securion(A) or D (D) (Instr. 3, | 4 and (A) or | d of       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock                      | 07/30/2015                              |  | M                                      | 1,875<br>(1)                       | A            | \$<br>79.7 | 28,870   | D  |  |  |
| Common<br>Stock                      | 07/30/2015                              |  | S                                      | 1,875<br>(1)                       | D            | \$<br>110  | 26,995   | D  |  |  |
| Common<br>Stock                      | 07/30/2015                              |  | S                                      | 340 (1)                            | D            | \$<br>110  | 26,655   | D  |  |  |
| Common<br>Stock (2)                  |   |  |  |                                    |              |            | 535.7403   | I  | Through<br>Computershare<br>Under ESPP                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | ransaction Derivative ode Securities |              | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|--------------------------------------|--------------|--|--------------------|---|--|
|   |   |                                      |   | Code V                                 | (A)                                  | (D)          | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 79.7   | 07/30/2015                           |   | M                                      | 1                                    | 1,875<br>(1) | (3)  | 12/05/2023         | Common<br>Stock   | 1,875                                  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McChesney Lee B 1000 STANLEY DRIVE NEW BRITAIN, CT 06053

CFO-GTS(incl Licensing & BD)

## **Signatures**

/s/ Bruce H. Beatt, Attorney-in-fact 08/03/2015

\*\*Signature of Reporting Person Dat

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to 10b5-1 Plan
- Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
- (3) 1,875 shares are currently exercisable, 1,875 shares become exercisable on 12/5/2015 and 1,875 shares become exercisable on 12/5/2016 and 1,875 shares become exercisable on 12/5/2017.

Reporting Owners 2

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