

3M CO
Form 4
November 04, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Delgado Joaquin

(Last) (First) (Middle)

3M CENTER

(Street)

ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
3M CO [MMM]

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/01/2013		M	11,418 A	\$ 76.8 16,579	D	
Common Stock	11/01/2013		S	10,013 D	\$ 125.66 6,566	D	
Common Stock	11/01/2013		S	400 D	\$ 125.67 6,166	D	
Common Stock	11/01/2013		S	605 D	\$ 125.69 5,561	D	
Common Stock	11/01/2013		S	100 D	\$ 125.7 5,461	D	

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Common Stock	11/01/2013	S	200	D	\$ 125.71	5,261	D	
Common Stock	11/01/2013	S	100	D	\$ 125.716	5,161	D	
Common Stock	11/01/2013	M	3,420	A	\$ 54.11	8,581	D	
Common Stock	11/01/2013	S	1,646	D	\$ 125.68	6,935	D	
Common Stock	11/01/2013	S	222	D	\$ 125.69	6,713	D	
Common Stock	11/01/2013	S	1,400	D	\$ 125.7	5,313	D	
Common Stock	11/01/2013	S	100	D	\$ 125.706	5,213	D	
Common Stock	11/01/2013	S	52	D	\$ 125.73	5,161 ⁽¹⁾	D	
Common Stock						1,020 ⁽²⁾	I	By 401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 76.8	11/01/2013		M		11,418		05/10/2006	05/08/2015	Common Stock	11,418
Non-qualified Stock Option (Right to Buy)	\$ 54.11	11/01/2013		M		3,420		02/09/2010	02/08/2019	Common Stock	3,420

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Delgado Joaquin 3M CENTER ST. PAUL, MN 55144-1000			Executive Vice President	

Signatures

/s/ George Ann Biros, attorney-in-fact for Joaquin Delgado	11/04/2013
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__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The total has been adjusted to reflect a withholding of 22 additional shares upon vesting of an RSU award on 2/8/2013 and reported on 2/12/2013.
- (2) Includes shares acquired during the fiscal year pursuant to the 3M Voluntary Investment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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