

ROSENTHAL AMIR

Form 4/A

May 07, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
ROSENTHAL AMIR

(Last) (First) (Middle)

C/O STURM, RUGER &
COMPANY, INC., 1 LACEY
PLACE

(Street)

SOUTHPORT, CT 06890

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

STURM RUGER & CO INC [RGR]

3. Date of Earliest Transaction
(Month/Day/Year)

05/03/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)
05/07/2013

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	05/03/2013		M ⁽¹⁾	2,888 A	7,515	D	
Common Stock ⁽³⁾	05/03/2013		A	661 A \$ 0	8,176	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock (Right to Buy)	(2)	05/03/2013		M ⁽¹⁾		2,888		05/03/2013	05/03/2013	Common Stock	2,888
Common Stock (Right to Buy) ⁽⁵⁾	(6)	05/03/2013		A		992		05/03/2016	05/03/2016	Common Stock	992

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROSENTHAL AMIR C/O STURM, RUGER & COMPANY, INC. 1 LACEY PLACE SOUTHPORT, CT 06890	X			

Signatures

/s/ Leslie M. Gasper,
attorney-in-fact

05/07/2013

^{**}Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of restricted stock units upon achievement of time-based vesting requirement.
- (2) Security converted to Common Stock on a one-to-one basis on the date vested in accordance with the terms of the award.
- (3) The restricted stock vests and becomes issuable on the date of the Company's 2014 Annual Meeting.
- (4) Includes 472 shares representing options added to Mr. Rosenthal's January 4, 2010 option award to maintain its intrinsic value upon the Company's December 21, 2012 issuance of a \$4.50 special cash dividend.
- (5) Restricted Stock Units subject to time-based and other conditions of the award.

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(6) Securities convert to Common Stock on a one-to-one basis on the date vested in accordance with the terms of the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.