Edgar Filing: WEEKS WENDELL P - Form 4

| WEEKS WEND | DELL P | | | | | | | | | | |
|---|------------------------------------|--|---|--|--|---|--|--|--|---|--|
| Form 4 January 05, 201 | 1 | | | | | | | | | | |
| FORM 4 | 1 | | | | | | | | | PPROVAL | |
| | | | S AND EXCHANGE COMMISSION ton, D.C. 20549 | | | | N OMB Number: | 3235-0287 | | | |
| Check this be if no longer subject to Section 16. Form 4 or Form 5 obligations may continue <i>See</i> Instruction 1(b). | STATEM Filed pur Section 17(| suant to S (a) of the F | OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Estimated burden ho response. • Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section • of the Investment Company Act of 1940 Section 1940 | | | | | | | urs per | |
| (Print or Type Resp | onses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> WEEKS WENDELL P | | | 2. Issuer Name and Ticker or Trading Symbol CORNING INC /NY [GLW] | | | | ng | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) (I | Middle) | 3. Date of | of Earliest T | ransacti | on | | (Check an applicable) | | | |
| ONE RIVERFRONT PLAZA | | | (Month/Day/Year) 01/03/2011 | | | | X Director 10% Owner X Officer (give title Other (specify below) below) Chairman, CEO & President | | | | |
| | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | |
| CORNING, NY | 7 14831 | | | | | | | Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivati | ive Secur | rities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| | ransaction Date onth/Day/Year) | 2A. Deeme Execution I any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) Code V | Dispos (Instr. | (A) or red (A) or red of (D) 3, 4 and 2 (A) or |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Reminder: Report of | on a separate line | e for each cla | ass of sec | | ficially | owned di | rectly | - | | | |
| | | | | | info req dis | ormation uired to | n cont resp | spond to the colle ained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|----------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securitie |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | |
|---------------------------------------|------------------------------------|------------|------------------|------------|--|-----------------------|--------------------|-----------------|------------------------------|
| | | | | Code V | (A) (D |) Date Exercisable | Expiration Date | Title | Amou or Numb of Sha |
| Stock Options (Right to Buy) | \$ 19.19 | 01/03/2011 | | A | 67,551 | 01/03/2012 <u>(1)</u> | 01/02/2021 | Common Stock | 67,5 |
| Restricted Stock Unit | <u>(2)</u> | 01/03/2011 | | А | 91,193 | <u>(3)</u> | (3) | Common Stock | 91,1 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| WEEKS WENDELL P ONE RIVERFRONT PLAZA CORNING, NY 14831 | Х | | Chairman, CEO & President | | | | | |
| Signatures | | | | | | | | |

Denise A. Hauselt, Power of Attorney

01/05/2011

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in three equal annual installments beginning on January 3, 2012.
- (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock
- The Restricted Stock Units vest 100% on February 14, 2014. Vested shares will be delivered to the reporting person within 60 (sixty)(3) days after February 14, 2014. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to February 14, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.