

NACCO INDUSTRIES INC
Form 5
February 14, 2005

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
RANKIN CLARA L T

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2004

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a Group

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| Class A Common Stock | | | | (A) Amount | (D) Price | | By Trust CLTR (7) |
| Class A Common Stock | 10/25/2004 | 10/25/2004 | J4 | 85,591 | A \$ 0 | I | By Trust/Assoc II (3) |
| | 12/28/2004 | 12/28/2004 | G | 4,473 | D \$ 0 | I | |

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| | | | | | | | | | | |
|----------------------------|------------|------------|----|---------|---|------|---------|---|--|--|
| Class A Common Stock | | | | | | | | | | By Trust/Assoc II ⁽³⁾ |
| Class A Common Stock | 10/25/2004 | 10/25/2004 | J4 | 85,591 | D | \$ 0 | 193,752 | I | | By Trust 2 <u>(4)</u> |
| Class A Common Stock | 10/25/2004 | 10/25/2004 | G | 193,752 | D | \$ 0 | 0 | I | | By Trust 2 <u>(4)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--------|--|------------------|---|----------------------------|
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | \$ 0 ⁽¹⁾ | 10/25/2004 | 10/25/2004 | G | Â | 74,324 | Â ⁽¹⁾ | Â ⁽¹⁾ | Class A Common Stock | 74,324 |
| Class B Common Stock | \$ 0 ⁽¹⁾ | 10/25/2004 | 10/25/2004 | J4 ⁽⁶⁾ | Â | 32,871 | Â ⁽¹⁾ | Â ⁽¹⁾ | Class A Common Stock | 32,871 |
| Class B Common Stock | Â | Â | Â | Â | Â | Â | Â ⁽¹⁾ | Â ⁽¹⁾ | Class A Common Stock | 7,000 |
| Class B Common Stock | \$ 0 ⁽¹⁾ | 10/25/2004 | 10/25/2004 | J4 ⁽⁶⁾ | | 32,871 | Â ⁽¹⁾ | Â ⁽¹⁾ | Class A Common Stock | 32,871 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------------------|
| | Director | 10% Owner | Officer | Other |
| RANKIN CLARA L T NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 | Â | Â | Â | Member of a Group |

MAYFIELD HEIGHTS, OH 44124

Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Clara L.T.
Rankin

02/14/2005

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a qualified annuity interest trust for the benefit of Clara L.T. Rankin. Reporting Person's Son serves as the Trustee of the Trust .
- (3) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Clara L.T. Rankin. Reporting Person's Son serves as the Trustee of the Trust .
- (4) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a qualified annuity interest trust for the benefit of Clara L.T. Rankin. Reporting Person's Son serves as the Trustee of the Trust .
- (5) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Clara L.T. Rankin. Reporting Person's Son serves as the Trustee of the Trust.-----
- (6) Account transfer.
- (7) Alfred M. Rankin, Jr., serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin .

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Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

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