Edgar Filing: GARTEN JEFFREY E - Form 4

GARTEN JE Form 4 May 22, 2018												
FORM	4								-	PPROVAL		
	• UNITE	D STATES		ITIES Af hington,]			NGE (COMMISSION	OMB Number:	3235-0287		
Check this	ər								Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Estimated a	2005 average			
	Section 16. SECURITIES							burden hou response				
Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,											
obligation may conti				•	•	• •		f 1935 or Sectio	on			
See Instru 1(b).	ction	30(h)	of the Inv	vestment (Company	y Act	of 19	40				
1(0).												
(Print or Type R	esponses)											
1. Name and Address of Reporting Person * 2. Issuer Name and Tick GARTEN JEFFREY E Symbol					Issuer							
				INC /PA/ [AET]				(Check all applicable)				
				of Earliest Transaction /Day/Year)				_X_ Director	10%	b Owner		
C/O AETNA INC., 151 05/18/2				-				Officer (give title Other (specify below) below)				
FARMINGT	ON AVENU	E							,			
									5. Individual or Joint/Group Filing(Check			
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by O												
HARTFORD	D, CT 06156							Form filed by I Person	More than One Ro	eporting		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Ye	ear) Executio any		3. Transactio Code	4. Securi mAcquired Disposed (Instr. 3,	ties l (A) c l of (D	er P)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common	05/21/2018			М	1,203	A	<u>(1)</u>	12,339.533 (2)	D			
Stock					-,_00			_,				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 5 (1
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	05/18/2018		А	990		(3)	<u>(3)</u>	Common Stock	990	
Restricted Stock Units	<u>(1)</u>	05/21/2018		М		1,203	(4)	<u>(4)</u>	Common Stock	1,203	

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Reporting Owners

Reporting Owner Name / Address		Relationsh					
	Director	10% Owner	Officer	Other			
GARTEN JEFFREY E C/O AETNA INC. 151 FARMINGTON AVENUE HARTFORD, CT 06156	Х						
Signatures							
JEFFREY E. GARTEN, by Edw Attorney-in-Fact	ard C. Le	e,		05/22/2018			

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units convert to Common Stock on a one-for-one basis.
- (2) Includes shares acquired through dividend reinvestment.
- Restricted Stock Units ("Units") granted under the Aetna Inc. 2010 Non-Employee Director Compensation Plan (the "Plan"). Subject to
 (3) terms of the Plan, Units will vest in four substantially equal installments on August 18, 2018, November 18, 2018, February 18, 2019, and May 18, 2019, with payment of the Units deferred until May 20, 2019. Upon payment date, Units will be issued in Common Stock.
- (4) Units granted under the Plan. Subject to terms of the Plan, Units vested in four substantially equal installments on August 18, 2017, November 18, 2017, February 18, 2018, and May 18, 2018, with payment of the Units deferred until May 21, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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