

AETNA INC /PA/
Form 3
July 13, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Virag Sharon

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/03/2015

3. Issuer Name and Ticker or Trading Symbol
AETNA INC /PA/ [AET]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP, Controller and CAO

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

AETNA INC., 151
FARMINGTON AVENUE

(Street)

HARTFORD, CT 06156

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Restricted Stock Units	06/10/2016 ⁽¹⁾	06/14/2018	Common Stock	2,389	\$ ⁽³⁾	D	Â
Restricted Stock Units	06/10/2016 ⁽²⁾	06/14/2017	Common Stock	2,544	\$ ⁽³⁾	D	Â
Stock Appreciation Right	06/10/2016 ⁽⁴⁾	06/09/2025	Common Stock	7,560	\$ 117.94	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Virag Sharon AETNA INC. 151 FARMINGTON AVENUE HARTFORD, CT 06156	Â	Â	Â VP, Controller and CAO	Â

Signatures

Sharon A. Virag by Judith H. Jones,
Attorney-in-Fact

07/13/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock Units granted under the Aetna Inc. 2010 Stock Incentive Plan (the "Plan"), units will vest in three annual installments beginning June 10, 2016.
- (2) Restricted Stock Units granted under the Plan, units will vest in two annual installments beginning June 10, 2016.
- (3) Each Restricted Stock Unit represents a right to receive one share of Aetna Inc. Common Stock net of taxes.
- (4) Stock Appreciation Right granted under the Plan become exercisable in three annual increments beginning on June 10, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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