### Edgar Filing: IPG PHOTONICS CORP - Form 4

#### IPG PHOTONICS CORP

Form 4

September 19, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287 January 31,

0.5

if no longer

Check this box

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average

**OMB APPROVAL** 

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Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Lopresti Angelo P

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Street)

(State)

(Middle)

(Zip)

IPG PHOTONICS CORP [IPGP]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

09/17/2007

Director X\_ Officer (give title

below)

10% Owner Other (specify

C/O IPG PHOTONICS CORPORATION, 50 OLD WEBSTER ROAD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

VP, Secretary & Gen Counsel

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

OXFORD, MA 01540

(City)

		Table 1- Non-Derivative Securities Acquired, Disposed of, or Deficientially Owned								
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acord Disposed of	•	5. Amount of Securities	6. Ownership	7. Nature of Indirect		
(Instr. 3)		any	Code	(Instr. 3, 4 and	5)	Beneficially	Form:	Beneficial		
		(Month/Day/Year)	(Instr. 8)			Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)		
						Reported	(I)	(IIIsu. 4)		
				(A)		Transaction(s)	(Instr. 4)			
			Code V	or Amount (D)	Price	(Instr. 3 and 4)				
Common Stock	09/17/2007		M	20,000 A	\$ 1.5	120,000	D			
Common Stock	09/17/2007		S <u>(1)</u>	20,000 D	\$ 18.457 (2) (3)	100,000	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 1.5	09/17/2007		M	20,000	<u>(4)</u>	04/05/2012	Common Stock	20,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lopresti Angelo P C/O IPG PHOTONICS CORPORATION 50 OLD WEBSTER ROAD OXFORD, MA 01540

VP, Secretary & Gen Counsel

## **Signatures**

Angelo P.

Lopresti 09/19/2007

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 15, 2007.

Sales ranged from \$18.15 to \$18.80 as follows: 100 shares at \$18.15; 100 shares at \$18.17; 100 shares at \$18.18; 1,000 shares at \$18.21; 723 shares at \$18.23; 570 shares at \$18.25; 200 shares at \$18.2530; 100 shares at \$18.2560; 707 shares at \$18.28; 100 shares at \$18.2910; 100 shares at \$18.2950; 100 shares at \$18.2970; 100 shares at \$18.2980; 400 shares at \$18.30; 200 shares at \$18.31; 200 shares at \$18.32; 700 shares at \$18.33; 100 shares at \$18.3470; 600 shares at \$18.35; 900 shares at \$18.36; 100 shares at \$18.3630; 100 shares at \$18.3670; 100 sha

- (2) 700 shares at \$18.35, 100 shares at \$18.3470, 000 shares at \$18.35, 500 shares at \$18.30, 100 shares at \$18.300, 100 shares at \$18.3810; 100 shares at \$18.3870; 100 shares at \$18.3870; 100 shares at \$18.3870; 100 shares at \$18.3870; 100 shares at \$18.3970; 100 shares at \$18.3970; 100 shares at \$18.3980; 100 shares at \$18.4150; 100 shares at \$18.4160; 200 shares at \$18.4170; 700 shares at \$18.45; 300 shares at \$18.4520. --Continued in Footnote (3).
- (3) Continued from Footnote (2): 100 shares at \$18.4530; 100 shares at \$18.4540; 100 shares at \$18.47; 100 shares at \$18.4740; 100 shares at \$18.4740; 100 shares at \$18.5050; 300 shares at \$18.5030; 1,000 shares at \$18.5040; 500 shares at \$18.5050; 100 shares at \$18.5070; 100

Reporting Owners 2

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shares at \$18.5150; 100 shares at \$18.5160; 100 shares at \$18.5170; 100 shares at \$18.5260; 300 shares at \$18.5300; 300 shares at \$18.54; 100 shares at \$18.5460; 1,100 shares at \$18.5500; 100 shares at \$18.5540; 300 shares at \$18.5550; 200 shares at \$18.5750; 100 shares at \$18.70; 1,100 shares at \$18.73; 100 shares at \$18.74; 499 shares at \$18.75; 1 share at \$18.76; 100 shares at \$18.80.

(4) Mr. Lopresti was granted options to purchase 200,000 shares 43.75% of these options vested immediately and the remainder vest in three equal annual installments of 37,500 shares beginning on 12/29/02.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.