Benedict Anne Lee Form 4 March 04, 2019

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

1. Name and Address of Reporting Person \*

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).
(Print or Type Responses)

Stock

Benedict Anne Lee Issuer Symbol Summit Materials, Inc. [SUM] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify C/O SUMMIT MATERIALS, 02/28/2019 below) below) INC., 1550 WYNKOOP STREET, See Remarks 3RD FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DENVER, CO 80202 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Class A Common 02/28/2019 M 1,792 10,305 D A <u>(1)</u> Stock Class A Common 02/28/2019 M 2.752 D Α <u>(1)</u> 13,057 Stock Class A Common 02/28/2019 F 1.348 D \$ 17 11,709 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Tit<br>Deriv<br>Secur<br>(Instr | ative<br>rity | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) |        |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|------------------------------------|---------------|---|---|---|---|--------|-------|--|--------------------|---|-------------------------------------|
|                                    |               |   |   |   | Code V                                  | (A)    | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Rest<br>Stoc<br>Unit               |               | <u>(2)</u>  | 02/28/2019                              |   | M                                       |        | 1,792 | (3)  | (3)                | Class A<br>Common<br>Stock  | 1,792                               |
| Rest<br>Stoc<br>Unit               |               | <u>(2)</u>  | 02/28/2019                              |   | M                                       |        | 2,752 | <u>(4)</u>   | <u>(4)</u>         | Class A<br>Common<br>Stock  | 2,752                               |
| Rest<br>Stoc                       |               | (2)   | 02/28/2019                              |   | A                                       | 17,341 |       | <u>(5)</u>   | (5)                | Class A<br>Common<br>Stock  | 17,341                              |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

See Remarks

Benedict Anne Lee C/O SUMMIT MATERIALS, INC.

1550 WYNKOOP STREET, 3RD FLOOR

DENVER, CO 80202

### **Signatures**

/s/Anne Lee Benedict 03/04/2019

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects restricted stock units that upon vesting converted into shares of Class A common stock of the Issuer ("Class A Common Stock") on a one-for-one basis.

Reporting Owners 2

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- Each restricted stock unit represents a contingent right to receive one share of Issuer Class A Common Stock. The restricted stock units will be settled in either Class A Common Stock or cash (or a combination thereof) at the discretion of the Issuer's compensation committee.
- On February 28, 2017, the Reporting Person was granted 5,377 restricted stock units that vest in three equal annual installments beginning on February 28, 2018.
- (4) On February 28, 2018, the Reporting Person was granted 8,256 restricted stock units that vest in three equal annual installments beginning on February 28, 2019.
- (5) These restricted stock units vest in three equal annual installments beginning on February 28, 2020.

#### **Remarks:**

Title: EVP, Chief Legal Officer & Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.