## Edgar Filing: Hill William T Jr - Form 4

| Hill William<br>Form 4<br>August 01, 2<br><b>FORN</b><br>Check th<br>if no lon,<br>subject to<br>Section 1<br>Form 4 c<br>Form 5<br>obligatio<br>may com<br><i>See</i> Instr<br>1(b). | 2017<br><b>1 4</b> UNITED<br>is box<br>ger<br>5<br>5<br>6.<br>or<br>Filed pur<br>Section 17( | <b>IENT OF</b><br>suant to S<br>a) of the P | Was<br>CHAN<br>ection 10<br>Public Ut                             | GES IN<br>GES IN<br>SECUR | D.C. 20<br>BENEFI<br>ITIES<br>e Securit<br>ling Con | 549<br>ICIA<br>ies E   | LOWI<br>Exchange        | COMMISSION<br>NERSHIP OF<br>e Act of 1934,<br>f 1935 or Section<br>0   | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response  |                        |  |
|---|--|---|---|---------------------------|---|--|-------------------------|--|--|------------------------|--|
| 1. Name and A<br>Hill Willian   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Hilltop Holdings Inc. [HTH]         |   |   |                           |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |                         |  |  |                        |  |
| (   |  |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>07/28/2017 |                           |   |  |                         | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)                                       |  |                        |  |
| DALLAG  | (Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year)                             |   |   |                           |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |                         |  |  |                        |  |
| DALLAS, IX 75201 Person   |  |   |   |                           |   |  |                         | F0   |  |                        |  |
| (City)  | (State)  | (Zip)                                       | Table   | e I - Non-D               | erivative (   | Secur  | ities Acq               | uired, Disposed of   | , or Beneficial  | ly Owned               |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)  | Execution any                               | Date, if  | Code<br>(Instr. 8)        | 4. Securit<br>on(A) or Di<br>(Instr. 3, )<br>Amount | spose<br>4 and<br>(A)<br>or  | d of (D)<br>5)<br>Price | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | Indirect<br>Beneficial |  |
| Common<br>Stock   | 07/28/2017   |   |   | А                         | 304 <u>(1)</u>                                      | А  | \$<br>25.85<br>(2)      | 15,924 <u>(3)</u>  | D  |                        |  |
| Common<br>Stock   |  |   |   |                           |   |  |                         | 14,550 <u>(3)</u> <u>(4)</u>   | Ι  | By SEP<br>IRA          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: Hill William T Jr - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  |                                 | Relationships |           |         |       |  |  |  |
|---|---------------------------------|---------------|-----------|---------|-------|--|--|--|
| Troporting 0 m  | Reporting O when Funce / Funces |               | 10% Owner | Officer | Other |  |  |  |
| Hill William T Jr<br>200 CRESCENT COURT, SUITE 1330<br>DALLAS, TX 75201 |                                 | Х             |           |         |       |  |  |  |
| Signatures  |                                 |               |           |         |       |  |  |  |
| /s/ William T.<br>Hill, Jr.   | 08/01/2017                      |               |           |         |       |  |  |  |
| **Signature of  | Date                            |               |           |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired pursuant to the Hilltop Holdings Inc. 2012 Equity Incentive Plan as compensation for services rendered as a director in the second calendar quarter of 2017.
- (2) Price per share calculated using the average closing price per share for the period from June 19, 2017 to June 30, 2017.
- Since the date of the reporting person's last ownership report, the reporting person transferred certain shares of common stock to his(3) ex-spouse pursuant to a domestic relations order. The reporting person no longer reports as beneficially owned any securities owned by his ex-spouse.

The reporting person disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest therein. The reporting person states that neither the filing of this statement nor anything herein shall be deemed an admission that the reporting person is, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise, the beneficial owner of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person