Ladder Capital Corp Form 4/A April 20, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires: 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

See Instruction 1(b).

(Last)

(City)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mazzei Michael

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

Ladder Capital Corp [LDR] 3. Date of Earliest Transaction

(Check all applicable)

C/O LADDER CAPITAL

(First)

(Month/Day/Year) 02/11/2014

Director 10% Owner X\_ Officer (give title Other (specify

below)

President

CORP, 345 PARK AVENUE, 8TH **FLOOR** 

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

02/13/2014

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

NEW YORK, NY 10154

1. Title of 2. Transaction Date 2A. Deemed Security

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of 6. Ownership Securities Form: Direct Beneficially (D) or Indirect Beneficial Owned (Instr. 4) Following

Reported (A) Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

7. Nature of

Ownership

(Instr. 4)

Indirect

Conversion

(Month/Day/Year) Execution Date, if

5. Number of Transaction Derivative

#### Edgar Filing: Ladder Capital Corp - Form 4/A

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Units and Class B common stock (1)	(3)	02/11/2014		J(2)(3)		423,084		(3)(4)	(3)(4)	Class A common stock	423,084		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mazzei Michael C/O LADDER CAPITAL CORP 345 PARK AVENUE, 8TH FLOOR NEW YORK, NY 10154

President

## **Signatures**

/s/ Michelle Wallach as Attorney-in-fact for Michael Mazzei

04/20/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This amendment is being made to remove Units and Class B common stock erroneously listed on Mr. Mazzei's prior Form 4 filing as (1) being indirectly beneficially owned by Mr. Mazzei. This line is being re-reported solely to gain access to the reporting system and no changes have been made thereto.
- (2) Included new LP units in Lader Capital Finance Holdings LLLP (the "LP Units") and Class B common stock of Ladder Capital Comp ("LCC") (the "Class B Common Stock") exchangeable into Class A common stock of LCC (the "Class A Common Stock").
  - Pursuant to the Amended and Restated LLLP Agreement entered into in connection with the closing of the initial public offering of LCC (the "IPO") holders of the LP Units and Class B Common Stock issued in connection with the reorganization, which occurred immediately
- (3) prior to the consummation of the IPO, may from time to time exchange LP Units paired with an equal number of shares of Class B Common Stock for shares of Class A Common Stock on a one-for-one basis, subject to customary conversion rate adjustments for stock splits, stock dividends, and reclassifications.
- (4) The LP Units and Class B Common Stock together are convertible at Mr. Mazzei's election at any time after its issuance. This conversion right has no expiration rate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2