#### Edgar Filing: STARWOOD PROPERTY TRUST, INC. - Form 4

STARWOOD PROPERTY TRUST, INC. Form 4 September 04, 2013

| subject to<br>Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESEstimated average<br>burden hours per<br>responseForm 4 or<br>Form 5<br>obligations<br>may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940Estimated average<br>burden hours per<br>responseSee Instruction<br>1(b).30(h) of the Investment Company Act of 19401940   | september o                                  | 1, 2015   |  |             |        |                         |  |   |              |  |  |   |                   |  |
|--|--|---|--|-------------|--------|-------------------------|--|---|--------------|--|--|---|-------------------|--|
| Check this box<br>Check this box<br>if no longer<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>Section 16.<br>Form 4 or<br>Form 5<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>obligations<br>may continue.<br>Section 17(a) of the Public Utility Holding Company Act of 1940<br>1(b).<br>Print or Type Responses)<br>1. Name and Address of Reporting Person 1<br>(Last) (First) (Middle) 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>(Last) (First) (Middle) 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>(Cloy) (State) (Zip) Table 1- Non-Derivative Securities S. Anount of<br>Securities I. Name and Original<br>Filed (Month/Day/Year)<br>(Cliy) (State) (Zip) Table 1- Non-Derivative Securities S. Anount of<br>Securities S. Anount of<br>Secur | FORM   |   | STATES SEA                             | спрітн      | 5 A .  | ND                      | FYC  | 'H A P                                      | NCF (        | COMMISSION   | r  | PPROVA  | L                 |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>obligations<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>any continue.<br>Sec Instruction<br>1(b).<br>Print or Type Responses)<br>1. Name and Address of Reporting Person <sup>+</sup> .<br>2. Issuer Name and Ticker or Trading<br>STARWOOD PROPERTY<br>INC. [STWD]<br>(Last) (First) (Middle)<br>A 16 filed (Month/Day/Year)<br>C/O STARWOOD PROPERTY<br>TRUST, INC., 591 WEST PUTNAM<br>AVENUE<br>(Street)<br>4. If Amendment, Date Original<br>Filed(Month/Day/Year)<br>(City) (State) (Zip)<br>GREENWICH, CT 06830<br>(City) (State) (Zip)<br>(Last) (First) (Middle)<br>3. A 18 Accurities<br>Stranewood Property<br>(Street)<br>(Street)<br>(State) (Zip)<br>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>1. Title of<br>Security (Month/Day/Year)<br>(City) (State) (Zip)<br>GREENWICH, CT 06830<br>(City) (State) (Zip)<br>(Last) (State) (Zip)<br>GREENWICH, CT 06830<br>(City) (State) (Zip)<br>GREENWICH, CT 06830<br>(City) (State) (Zip)<br>GREENWICH, CT 06830<br>(City) (Month/Day/Year)<br>(Month/Day/Year) (Month/Day/Year)<br>(Month/Day/Year) (Month/Day/Year)<br>(Code D Sposed of, or Beneficially Owned<br>1.Title of<br>Securities Compared the compared of the securities S. Acquired, Disposed of, or Beneficially Owned<br>(Month/Day/Year) (Instr. 8) (Instr. 3, at ad 5)<br>(Month/Day/Year) (Code D Sposed of (D) Beneficially Owned<br>(A) or<br>Code V Amount (D) Price<br>(A) Transaction(S)<br>(Code V Amount (D) Price<br>(A) Transaction(S)<br>(Code V Amount (D) Price<br>(A) Transaction(S)<br>(Code V Amount (D) Price<br>(A) (Instr. 4) (Instr. 4)<br>(Instr. 4) (Instr. 4)<br>(Instr. 4) (Instr. 4) (Instr. 4)<br>(A) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4)<br>(A) (Instr. 4) (Instr. 4) (Instr. 4)<br>(A) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4)<br>(A) (Instr. 4) (I        |  | UNITED  |  |             |        |                         |  |   | NGE V        |  | OND  | 3235-   | 0287              |  |
| subject to<br>Section 16.       SECURITIES       Estimated average<br>burden hours per<br>response       Estimated average<br>burden hours per<br>response         Form 4 or<br>Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>obligations<br>may continue.<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940<br>1(b).       0.         Print or Type Responses)       2. Issuer Name and Ticker or Trading<br>Symbol       5. Relationship of Reporting Person(s) to<br>Issuer         I. Name and Address of Reporting Person 2       2. Issuer Name and Ticker or Trading<br>Symbol       5. Relationship of Reporting Person(s) to<br>Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction<br>(Month/Day/Year)   |  | er  |  |             |        |                         |  |   |              | NEDCHID OF   | Expires:   |   | y 31,<br>2005     |  |
| obligations<br>may continue.<br>See Instruction<br>1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940         1(b).       See Instruction<br>1(b).       30(h) of the Investment Company Act of 1940         Print or Type Responses)       2. Issuer Name and Ticker or Trading<br>Symbol       5. Relationship of Reporting Person(s) to<br>Issuer         ZELNICK STRAUSS       Symbol       StrARWOOD PROPERTY TRUST,<br>INC. [STWD]       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction<br>(Month/Day/Year)       -X_Director<br>Officer (give tile<br>below)       10% Owner<br>Officer (give tile<br>below)         C/O STARWOOD PROPERTY<br>RUST, INC., 591       9/04/2013       6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>X. Form filed by One Reporting Person<br>Discutility Holding         GREENWICH, CT 06830       Zip       Table I - Non-Derivative Securities<br>Code       6. Nonvership<br>Person       6. Ownership<br>Owner         (City)       (State)       (Zip)       Table I - Non-Derivative Securities<br>Code       6. Nonvership<br>Disposed of (D)       6. Ownership<br>Owner         1.Title of<br>Scurity       2. Transaction Date       2.A. Deemed<br>2.A. Deemed<br>Code       3.       4. Securities<br>Code       5. Amount of<br>Person       6. Ownership<br>Owner         (Instr. 3)       Code V Amount (D) Price       6. Securities<br>Form filed by Mone Reporting<br>Person       6. Ownership<br>Pollowing<br>(Instr.   | Section 1<br>Form 4 or                       | subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP<br>Section 16. SECURITIES |  |             |        |                         |  | NEKSHIP OF                                  | burden hou   | en hours per   |  |   |                   |  |
| 1. Name and Address of Reporting Person.       2. Issuer Name and Ticker or Trading<br>Symbol       5. Relationship of Reporting Person(s) to<br>Issuer         ZELNICK STRAUSS       STARWOOD PROPERTY TRUST,<br>INC. [STWD]       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction<br>(Month/Day/Year)       -X_Director       -10% Owner         C/O STARWOOD PROPERTY<br>TRUST, INC., 591 WEST PUTNAM<br>AVENUE       4. If Amendment, Date Original<br>Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check<br>Applicable Line)       6. Individual or Joint/Group Filing(Check<br>Applicable Line)         (City)       (Street)       4. If Amendment, Date Original<br>Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check<br>Applicable Line)       7. Nature of<br>Form filed by More than One Reporting<br>Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of<br>Security       2. Transaction Date<br>(Month/Day/Year)       2A. Deemed<br>any       3.       4. Securities<br>Code       5. Amount of<br>Disposed of (D)       6. Ownership<br>Following<br>(Instr. 4)       6. Ownership<br>Following<br>(Instr. 4)       7. Nature of<br>Indirect<br>(Instr. 4)         Common       09/04/2013       A       2.807       A       (2)       13.685       D  | obligation<br>may conti<br><i>See</i> Instru | inue. Section 17(a  | a) of the Publ                         | ic Utility  | Hold   | ling                    | Com  | pany  | Act o        | f 1935 or Sectio   | 'n   |   |                   |  |
| ZELNICK STRAUSS Symbol<br>STARWOOD PROPERTY TRUST,<br>INC. [STWD] (Last) (First) (Middle) 3. Date of Earliest Transaction<br>(Month/Day/Year) (Month/Day/Year) 09/04/2013 (Co STARWOOD PROPERTY<br>TRUST, INC., 591 WEST PUTNAM<br>AVENUE (Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>   | Print or Type R                              | Responses)  |  |             |        |                         |  |   |              |  |  |   |                   |  |
| INC. [STWD]       (Check all applicable)         (Last)       (First)       (Midle)       3. Date of Earliest Transaction<br>(Month/Day/Year)       -XDirector<br>officer (give title       -10% Owner         C/O STARWOOD PROPERTY<br>TRUST, INC., 591 WEST PUTNAM<br>AVENUE       09/04/2013  |  |   | Sym                                    | ibol        |        |                         |  | ·   |              | Issuer   |  |   |                   |  |
| C/O STARWOOD PROPERTY<br>TRUST, INC., 591 WEST PUTNAM       (Month/Day/Year)<br>09/04/2013 <sup></sup>   |  |   |  |             |        |                         |  |   |              | (Cheo  | ck all applicable                                      | e)  |                   |  |
| C/O STARWOOD PROPERTY 09/04/2013<br>TRUST, INC., 591 WEST PUTNAM<br>AVENUE<br>(Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person<br>(City) (State) (Zip)<br>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of<br>Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect<br>(Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership<br>Following (Instr. 4) (Instr. 4) (Instr. 4)<br>(A) reported<br>Transaction(s) (Instr. 4) (Instr. 4) (Instr. 4)<br>Code V Amount (D) Price (Instr. 3 and 4)   | (Last)                                       | (First) (N  |  |             |        | ansa                    | ction                                      |   |              | Officer (give  | title Oth  |   |                   |  |
| GREENWICH, CT 06830<br>(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>1.Title of Security (Instr. 3)<br>(Instr. 3)<br>Common 09/04/2013<br>Applicable Line)<br>.X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person<br>Applicable Line)<br>.X_ Form filed by One Reporting<br>Person<br>Applicable Line)<br>.X_ Form filed by More than One Reporting<br>Person<br>(Month/Day/Year)<br>(Instr. 3)<br>Applicable Line)<br>.X_ Form filed by More than One Reporting<br>Person<br>Applicable Line)<br>.X_ Form filed by More than One Reporting<br>Person<br>(Month/Day/Year)<br>Applicable Line)<br>.X_ Form filed by More than One Reporting<br>Form: Direct<br>Indirect<br>(Indirect<br>(I) Ownership<br>Following<br>(Instr. 4)<br>(Instr. 4)<br>(In                                       | TRUST, INC                                   |   |  | 04/2013     |        |                         |  |   |              | Delow)   | below)   |   |                   |  |
| GREENWICH, CT 06830  |  | . ,   |  |             | -      |                         |  |   |              |  |  |   |                   |  |
| 1.Title of<br>Security<br>(Instr. 3)       2. Transaction Date<br>(Month/Day/Year)       2A. Deemed<br>Execution Date, if<br>any       3.       4. Securities<br>TransactionAcquired (A) or<br>Code       5. Amount of<br>Disposed of (D)       6. Ownership<br>Form: Direct       7. Nature of<br>Indirect         (Instr. 3)       (Month/Day/Year)       Execution Date, if<br>any       3.       4. Securities       5. Amount of<br>Disposed of (D)       6. Ownership<br>Form: Direct       7. Nature of<br>Indirect         (Instr. 3)       (Month/Day/Year)       (Instr. 8)       (Instr. 3, 4 and 5)       Owned       Indirect (I)       Ownership<br>Following         (A)       or<br>Code       V       Amount       (D)       Price       (Instr. 4)       (Instr. 4)         Common       09/04/2013       A       2.807       A       (2)       13.685       D   | GREENWIG                                     | CH, CT 06830  | Flied                                  | u(Month/Day | /Year) | )                       |  |   |              | _X_ Form filed by<br>Form filed by M   | 1 0  |   |                   |  |
| 1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5)       5. Amount of Securities Beneficially (D) or Beneficial Indirect (I) Ownership Following (Instr. 4)       6. Ownership Form: Direct (I) Ownership Following (Instr. 4)         Common       09/04/2013       A       2.807 A       (2)       13.685 D   | (City)                                       | (State)   | (Zip)                                  | Table I - N | on-D   | eriv                    | ative S                                    | ecuri                                       | ties Ac      | quired, Disposed o   | f, or Beneficial                                       | lly Owned                                     | ł                 |  |
| Common 09/04/2013 A 2.807 A (2) 13.685 D   | Security                                     |   | Ionth/Day/Year) Execution Date, if any |             |        | 4.<br>onAc<br>Di<br>(In | Securit<br>equired<br>sposed<br>astr. 3, 4 | ies<br>(A) o<br>of (D<br>4 and<br>(A)<br>or | r<br>)<br>5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I) | 7. Nature<br>Indirect<br>Beneficia<br>Ownersh | e of<br>al<br>iip |  |
|  |  | 09/04/2013  |  |             | .C V   |                         |  |   |              | 13,685   | D  |   |                   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4 |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

### Edgar Filing: STARWOOD PROPERTY TRUST, INC. - Form 4

## **Reporting Owners**

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other ZELNICK STRAUSS C/O STARWOOD PROPERTY TRUST, INC. Х 591 WEST PUTNAM AVENUE GREENWICH, CT 06830 Signatures /s/ Strauss 09/04/2013 Zelnick

\*\*Signature of Reporting Person

### Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- (1) Restricted common shares vesting on September 4, 2014.

(2) The Issuer granted these shares to the Reporting Person pursuant to the Starwood Property Trust, Inc. Non-Executive Director Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.