GLEACHER & COMPANY, INC. Form 8-K June 17, 2013

# **UNITED STATES** SF ON

|       | WASHINGTON, D.C. 20549                | _        |
|-------|---------------------------------------|----------|
|       | FORM 8-K                              |          |
|       | CURRENT REPORT                        | _        |
|       | NT TO SECTION 13 OR 150               |          |
| Date  | of Report (Date of earliest event rep | oorted): |
| GLEAC | CHER & COMPA                          | NY, INC. |

Delaware

(State or other jurisdiction of incorporation)

| 1 | ۱ 1          | 14 | 1 | 1 | 1 |
|---|--------------|----|---|---|---|
| · | <b>,</b> – 1 | 14 |   | 4 |   |

(Commission File Number)

#### 22-2655804

(IRS Employer Identification No.)

#### 1290 Avenue of the Americas

New York, New York

(Address of Principal Executive Offices)

### 10104

(Zip Code)

### (212) 273-7100

(Registrant s telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Item 8.01. Other Events.

On June 14, 2013, the Company received a letter from The NASDAQ Stock Market LLC ( NASDAQ ) notifying the Company that it had regained compliance with the minimum bid price requirement under the NASDAQ listing standards. During the period between and including May 31, 2013 and June 13, 2013, the Company s common stock closed at \$13.50 or higher. NASDAQ also confirmed that the Company is in compliance with all other applicable requirements for listing on The NASDAQ Global Market.

### Item 9.01. Financial Statements and Exhibits.

- (d) Exhibits
- 99.1 Press Release, dated June 17, 2013.

2

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### GLEACHER & COMPANY, INC.

By: /s/ Bryan Edmiston
Name: Bryan Edmiston
Title: Controller

Dated: June 17, 2013

3