

WRIGHT GLENN M
Form 5
February 07, 2003

FORM 5

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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| | | | | |
|--|--|---|---|--|
| 1. Name and Address of Reporting Person Wright, Glenn (Last) (First) (Middle) 405 SW Columbia St (Street) Bend, OR 97702 (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol Advanced Power Technology, Inc. APTI | 4. Statement for Month/Year December/2002 | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | |
| | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |
| 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | | 5. If Amendment, Date of Original (Month/Year) | 7. Individual or Joint/Group Reporting (check applicable line) | |

☒ Form Filed by One Reporting Person

☐ Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | 5. Amount of Securities Beneficially Owned at the end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|--|------------|-------|--|---|---|
| | | | | Amount | (A) or (D) | Price | | | |

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| Common Stock | | | | | | | 1,000 | D | |
|-----------------|--|--|--|--|--|--|-------|---|--|
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* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10. Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|--|-----|--|-----------------|---|----------------------------|--|---|---|--|
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| 3-Option right to buy (#247) | \$1.40 | | | | | | 1/1/99 | 1/1/08 | Common Stock | 1,500 | | 1,500 | D(a) | |
| 4-Option right to buy (#441) | \$1.48 | | | | | | 1/1/00 | 1/1/09 | Common Stock | 2,200 | | 2,200 | D(a) | |
| 5-Option right to buy (#398) | \$1.48 | | | | | | 1/1/00 | 1/1/09 | Common Stock | 498 | | 498 | D(a) | |
| 6-Option right to buy (#478) | \$1.48 | | | | | | 10/3/00 | 10/3/09 | Common Stock | 150 | | 150 | D(a) | |
| 7-Option right to buy (#512) | \$1.48 | | | | | | 1/1/01 | 1/1/10 | Common Stock | 5,280 | | 5,280 | D(a) | |
| 8-Option right to buy (#652) | \$15.23 | | | | | | 4/30/02 | 4/30/11 | Common Stock | 10,000 | | 10,000 | D(a) | |
| 9-Option right to buy (#940) | \$12.02 | | | | | | 9/11/02 | 9/11/11 | Common Stock | 606 | | 606 | D(a) | |
| 10-Option right to buy (#1105) | \$7.25 | | | | | | 10/1/02 | 10/1/11 | Common Stock | 909 | | 909 | D(a) | |
| 11-Option right to buy (#1349) | \$11.55 | 1/1/02 | | A | | | 1/1/03 | 1/1/12 | Common Stock | 909 | | 909 | D | |
| 12-Option right to buy (#1439) | \$11.48 | 3/28/02 | | A | | | 3/28/03 | 3/28/12 | Common Stock | 12,500 | | 12,500 | D | |
| 13-Option right to buy (#1486) | \$12.41 | 4/1/02 | | A | | | 4/1/03 | 4/1/12 | Common Stock | 606 | | 606 | D | |

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Explanation of Responses:

(a) Includes options for 5,952 shares of common stock that have been assigned to Mr. Wright's ex-wife, over which Mr. Wright disclaims beneficial ownership.

/s/ GLENN WRIGHT

1/28/03

** Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, *see* Instruction 6 for procedure.

<http://www.sec.gov/divisions/corpfin/forms/form5.htm>

Last update: 09/03/2002