Edgar Filing: PROSPECT CAPITAL CORP - Form 4

PROSPECT C Form 4 December 10,	CAPITAL CORP									
FORM	Л							OMB AF	PROVAL	
	UNITED STA	TES SECURI Wasł		ND EXCH D.C. 2054		E CO	MMISSION	OMB Number:	3235-0287	
if no longe subject to Section 16 Form 4 or Form 5	Check this box if no longer subject to Section 16. Form 4 or						Lanuary 31Expires:2005Estimated averageburden hours perresponse0.5			
obligations may contin <i>See</i> Instruct 1(b).	s Section 17(a) of		lity Holdi	ing Compa	ny A	ct of 19				
(Print or Type Re	esponses)									
1. Name and Ad Barry John F	Idress of Reporting Perso	Symbol		Ticker or Tra	-		Relationship of l suer (Check	Reporting Pers		
(Last) 10 EAST 407 FLOOR	(First) (Middle	(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 12/09/2015				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) CHIEF EXECUTIVE OFFICER			
			th/Day/Year) Ap _X				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State) (Zip)	Tabla	I Non Do	nivotivo Soo			rson	on Donoficial	Ourmod	
1.Title of Security (Instr. 3)	2. Transaction Date 24 (Month/Day/Year) E: ar	A. Deemed xecution Date, if	3. Transactio		s Acqu l of (D	ired (A)	ed, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	y Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	12/09/2015		Р	150,000	A	\$ 6.713	6,180,199.7	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Barry John F 10 EAST 40TH STREET, 42ND FLOOR NEW YORK, NY 10016	Х		CHIEF EXECUTIVE OFFICER				
Signatures							

/s/ John Barry	12/09/2015
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**Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.