### Edgar Filing: SECURITY NATIONAL FINANCIAL CORP - Form 4

#### SECURITY NATIONAL FINANCIAL CORP

Form 4 May 26, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

ess of Reporti n G	ng Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		SECURITY NATIONAL FINANCIAL CORP [SNFCA]	(Check all applicable)		
(Last) (First) (Middle) 7 MUSTANG DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 05/25/2016	_X_ Director 10% Owner Officer (give title below) Other (specify below)		
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
(State)	(Zip)		Form filed by More than One Reporting Person		
	(First)  NG DRIVE (Street)	(First) (Middle) NG DRIVE (Street)	Symbol SECURITY NATIONAL FINANCIAL CORP [SNFCA]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  NG DRIVE 05/25/2016  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)		

	Tuble 1 1 (of Bell and Securities Hequites, Bisposed 61, of Beneficiary)									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Class A Common Stock	05/25/2016		S	102	D	\$ 4.51	3,218	D		
Class A Common Stock	05/25/2016		S	100	D	\$ 4.5	3,118	D		
Class A Common Stock	05/25/2016		S	298	D	\$ 4.55	2,820	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	/e Expiration Date (Month/Day/Year	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option (right to buy)	\$ 6.05 (1)	12/07/2012		A	1,216 (1)	12/07/2013	12/07/2022	Class A Common Stock	1,216 (1)	
Director Stock Option (right to buy)	\$ 4.16 (2)	12/06/2013		A	5,605 (2)	12/06/2014	12/06/2023	Class A Common Stock	5,605 (2)	
Director Stock Option (right to buy)	\$ 4.16 (3)	12/07/2013		A	1,158 (3)	12/07/2014	12/07/2023	Class A Common Stock	1,158 (3)	
Director Stock Option (right to buy)	\$ 4.53 <u>(4)</u>	12/05/2014		A	5,513 (4)	12/05/2015	12/05/2024	Class A Common Stock	5,513 (4)	
Director Stock Option (right to buy)	\$ 4.53 <u>(5)</u>	12/07/2014		A	1,103 (5)	12/07/2015	12/07/2024	Class A Common Stock	1,103 (5)	
Director Stock Option	\$ 6.38 (6)	12/04/2015		A	5,250 ( <u>6)</u>	12/04/2016 <u>(6)</u>	12/04/2025	Class A Common Stock	5,250 ( <u>6)</u>	

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(right to buy)

Director

buy)

Stock Option \$ 6.38 (7) 12/07/2015 (right to

1,050 Α (7)

12/07/2016(7) 12/07/2025 Common

Class A Stock

1.050

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Wilbur Norman G 2637 MUSTANG DRIVE X MEAD, CO 80542

# **Signatures**

/s/ Norman G.

Wilbur 05/26/2016 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 7, 2012 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 6, 2013 as an option to purchase 4,842 shares of Class A Common Stock under the 2006 Director (2) Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 7, 2013 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director (3) Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 5, 2014 as an option to purchase 5,000 shares of Class A Common Stock under the 2014 Director (4) Stock Option Plan at an exercise price of \$5.02 per share but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2014 as an option to purchase 1,000 shares of Class A Common Stock under the 2014 Director (5) Stock Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 4, 2015 as an option to purchase 5,000 shares of Class A Common Stock under the 2014 Director (6) Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016. This option vests on December 4, 2016.
- This option was granted on December 7, 2015 as an option to purchase 1,000 shares of Class A Common Stock under the 2014 Director Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016. This option vests on December 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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