SECURITY NATIONAL FINANCIAL CORP

Form 4 July 15, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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SECURITIES Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Wilbur Norman G

2. Issuer Name and Ticker or Trading

Issuer

Symbol

SECURITY NATIONAL FINANCIAL CORP [SNFCA]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) 3. Date of Earliest Transaction

_X__ Director 10% Owner Other (specify Officer (give title below)

(Month/Day/Year) 07/10/2014

(Middle)

(Zip)

421 GROSSETO LANE (Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non Dorivative Securities Acquired Disposed of an Reposicially Ov

GEORGETOWN, TX 78628

(City)

` •	, , , ,	17 Table	: 1 - Non-De	erivative	securi	ues Acq	juirea, Disposea o	i, or Beneficial	ly Owned
1.Title of		ransaction Date 2A. Deemed				cquired	5. Amount of	6. Ownership	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Transactio Code	saction(A) or Disposed of			Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
(Ilisti. 3)		(Month/Day/Year)	(Instr. 8)	(D) (Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
		((======================================	(/ /		Following	(Instr. 4)	(Instr. 4)	
				(Δ)		Reported			
					or		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Class A						¢			
Common	07/10/2014		S	500	D	φ 4 12	4,697	D	
Stock						4.12			
Class A									
Common	07/10/2014		S	500	D	\$11	4,197	D	
Stock	07/10/2014		3	300	ט	ψ 7.1	7,177	Ъ	
Stock									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)		8. D Se (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option (right to buy)	\$ 3.57 (1)	12/07/2006		A	1,478 (1)	12/07/2007	12/07/2016	Class A Common Stock	1,478 (1)	\$
Director Stock Option (right to buy)	\$ 2.65 (2)	12/07/2007		A	1,408 (2)	12/07/2008	12/07/2017	Class A Common Stock	1,408 (2)	\$
Director Stock Option (right to buy)	\$ 2.86 (3)	03/31/2008		A	3,351 (3)	03/31/2009	03/31/2018	Class A Common Stock	3,351 (3)	\$
Director Stock Option (right to buy)	\$ 2.75 (4)	12/04/2009		A	6,382 (4)	12/04/2010	12/04/2019	Class A Common Stock	6,382 (<u>4)</u>	\$
Director Stock Option (right to buy)	\$ 2.75 (5)	12/07/2009		A	1,277 (<u>5)</u>	12/07/2010	12/07/2019	Class A Common Stock	1,277 (5)	\$
Director Stock Option (right to buy)	\$ 1.41 <u>(6)</u>	04/13/2012		A	5,513 (<u>6)</u>	04/13/2013	04/13/2022	Class A Common Stock	5,513 (6)	\$
Director Stock	\$ 6.7 (7)	12/07/2012		A	1,103 (7)	12/07/2013	12/07/2022	Class A Common	1,103 (7)	9

Option (right to buy)							Stock		
Director Stock Option (right to buy)	\$ 4.61 (8)	12/06/2013	A	5,084 (8)	12/06/2014	12/06/2023	Class A Common Stock	5,084 (<u>8)</u>	\$
Director Stock Option (right to buy)	\$ 4.61 (9)	12/07/2013	A	1,050 (9)	12/07/2014	12/07/2023	Class A Common Stock	1,050 (9)	\$

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Wilbur Norman G

421 GROSSETO LANE X

GEORGETOWN, TX 78628

Signatures

/s/ Norman G.

Wilbur 07/15/2014

**Signature of Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 7, 2006 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

 Option Plan at an exercise price of \$5.31 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 9, 2007, February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013 and February 7, 2014.
- This option was granted on December 7, 2007 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

 Option Plan at an exercise price of \$3.75 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013 and February 7, 2014.
- This option was granted on March 31, 2008 as an option for 2,500 shares of Class A Common Stock under the 2006 Director Stock

 Option Plan at an exercise price of \$3.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013 and February 7, 2014.
- This option was granted on December 4, 2009 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

 (4) Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013 and February 7, 2014.
- (5) This option was granted on December 7, 2009 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option

Reporting Owners 3

Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012, February 1, 2013 and February 7, 2014.

- This option was granted on April 13, 2012 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option (6) Plan at an exercise price of \$1.56 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 1, 2013 and February 7, 2014.
- This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock (7) Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 1, 2013 and February 7, 2014.
- This option was granted on December 6, 2013 as an option for 4,842 shares of Class A Common Stock under the 2006 Director Stock

 (8) Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect a 5% stock option paid on February 7, 2014. This option vests on December 6, 2014.
- This option was granted on December 7, 2013 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock (9) Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect a 5% stock option paid on February 7, 2014. This option vests on December 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.