Edgar Filing: SECURITY NATIONAL FINANCIAL CORP - Form 4

SECURITY NATIONAL FINANCIAL CORP

Form 4

January 31, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

1(b).

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * FULLER GILBERT A

(First) (Middle)

55 WANDERWOOD WAY

(Street)

2. Issuer Name and Ticker or Trading

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Symbol

SECURITY NATIONAL FINANCIAL CORP [SNFCA]

3. Date of Earliest Transaction (Month/Day/Year)

12/06/2013

4. If Amendment, Date Original

3.

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person

_X__ Director

Officer (give title

Form filed by More than One Reporting Person

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

6. Ownership 7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

SEC 1474

(9-02)

Form: Direct

Other (specify

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

(City) (State)

SANDY, UT 84092

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

Code (Instr. 8)

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(D) or Indirect (I) (Instr. 4)

(Instr. 3 and 4)

Class A

Common Stock

12/06/2013

P

Code

0

V Amount

(A)

or

(D)

\$0 500

Price

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title Deriva Securir (Instr.	tive Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. D S (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Direct Stock Option (right buy)	n \$ 7.05 <u>(1)</u>	12/07/2012		A	1,050 (1)	12/07/2013	12/07/2022	Class A Common Stock	1,050 (1)	\$
Direct Stock Option (right buy)	n \$ 4.85 (2)	12/06/2013		A	4,842 (2)	12/06/2014	12/06/2023	Class A Common Stock	4,842 (2)	\$
Direct Stock Option (right buy)	n \$ 4.85 (3)	12/07/2013		A	1,000 (3)	12/07/2014	12/07/2023	Class A Common Stock	1,000 (3)	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Plante, Plantess	Director	10% Owner	Officer	Other		
FULLER GILBERT A 55 WANDERWOOD WAY SANDY, UT 84092	X					

Signatures

/s/ Gilbert A.
Fuller

**Signature of Reporting Person

O1/31/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5%

Reporting Owners 2

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stock dividend paid on February 1, 2013.

- (2) This option was granted on December 6, 2013 as an option for 4,842 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share. The option vests on December 6, 2014.
- (3) This option was granted on December 7, 2013 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share. This option vests on December 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.