### SECURITY NATIONAL FINANCIAL CORP

Form 4 January 30, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Hunter Robert Gail

(First) (Middle)

(Street)

2 RAVENWOOD LANE

Symbol SECURITY NATIONAL

2. Issuer Name and Ticker or Trading

FINANCIAL CORP [SNFCA]

3. Date of Earliest Transaction (Month/Day/Year)

12/06/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

Person

Issuer

below)

**SANDY, UT 84092** 

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3.

Code (Instr. 8)

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

SEC 1474

(9-02)

10% Owner

Other (specify

Transaction(s) (Instr. 3 and 4)

Amount (D) Price

Class A

Security

(Instr. 3)

Common Stock

12/06/2013

A

0

(A)

or

\$0 7,395 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivat Securities Acquired (A) or Disposed (D) (Instr. 3, 4 and 5)	of	6. Date Exercisable Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 3.76 (1)	12/07/2006		A	1,408 (1)		12/07/2007	12/07/2016	Class A Common Stock	1,408 (1)
Director Stock Option (right to buy)	\$ 2.79 (2)	12/07/2007		A	1,341 (2)		12/07/2008	12/07/2017	Class A Common Stock	1,341 (2)
Director Stock Option (right to buy)	\$ 3.01 (3)	03/31/2008		A	3,191 ( <u>3)</u>		03/31/2009	03/31/2018	Class A Common Stock	3,191 (3)
Director Stock Option (right to buy)	\$ 1.17 (4)	12/05/2008		A	6,382 (4)		12/05/2009	12/05/2018	Class A Common Stock	6,382 ( <u>4)</u>
Director Stock Option (right to buy)	\$ 1.1 <u>(5)</u>	12/07/2008		A	1,277 (5)		12/07/2009	12/07/2018	Class A Common Stock	1,277 (5)
Director Stock Option (right to buy)	\$ 2.89 (6)	12/04/2009		A	6,078 (6)		12/04/2010	12/04/2019	Class A Common Stock	6,078 (6)
Director Stock Option (right to buy)	\$ 2.89 <u>(7)</u>	12/07/2009		A	1,216 <u>(7)</u>		12/07/2010	12/07/2019	Class A Common Stock	1,216 <u>(7)</u>

Director Stock Option (right to buy)	\$ 1.58 <u>(8)</u>	12/03/2010	A	5,789 (8)	12/03/2011	12/03/2020	Class A Common Stock	5,789 (8)
Director Stock Option (right to buy)	\$ 1.58 <u>(9)</u>	12/07/2010	A	1,158 (9)	12/07/2011	12/07/2020	Class A Common Stock	1,158 (9)
Director Stock Option (right to buy)	\$ 1.18 (10)	12/02/2011	A	5,513 (10)	12/02/2012	12/02/2021	Class A Common Stock	5,513 (10)
Director Stock Option (right to buy)	\$ 1.18 (11)	12/07/2011	A	1,103 (11)	12/07/2012	12/07/2021	Clsas A Common Stock	1,103 (11)
Director Stock Option (right to buy)	\$ 1.48 (12)	04/13/2012	A	5,250 (12)	04/13/2013	04/13/2022	Class A Common Stock	5,250 (12)
Director Stock Option (right to buy)	\$ 7.05 (13)	12/07/2012	A	1,050 (13)	12/07/2013	12/07/2022	Class A Common Stock	1,050 (13)
Director Stock Option (right to buy)	\$ 4.85 <u>(14)</u>	12/06/2013	A	4,842 (14)	12/06/2014(14)	12/06/2023	Class A Common Stock	4,842 (14)
Director Stock Option (right to buy)	\$ 4.85 (15)	12/07/2013	A	1,000 (15)	12/07/2014(15)	12/07/2023	Class A Common Stock	1,000 (15)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Reporting Owners 3

Hunter Robert Gail
2 RAVENWOOD LANE X
SANDY, UT 84092

## **Signatures**

/s/ Robert G Hunter 01/30/2014

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 7, 2006 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$5.31 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 9, 2007, February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 7, 2007 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$3.75 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on March 31, 2008 as an option for 2,500 shares of Class A Common Stock under the 2006 Director Option (3) Plan at an exercise price of \$3.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 5, 2008 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  (4) Option Plan at an exercise price of \$1.50 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 7, 2008 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.41 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 4, 2009 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividend paid on February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 7, 2009 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  (7) Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5% stock dividend paid on February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 3, 2010 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 7, 2010 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock (9) Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 2, 2011 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock (10) Option Plan at an exercise price of \$1.30 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 3, 2012 and February 1, 2013.
- This option was granted on December 7, 2011 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock (11) Option Plan at an exercise price of \$1.24 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect 5% stock dividends paid on February 3, 2012 and February 1, 2013.
- This option was granted on April 13, 2012 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  (12) Option Plan at an exercise price of \$1.56 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5% stock dividend paid on February 1, 2013.

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- This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  (13) Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5% stock dividend paid on February 1, 2013.
- (14) This option was granted on December 6, 2013 as an option for 4,842 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share. This option vests on December 6, 2014.
- This option was granted on December 7, 2013 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share. This option vests on December 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.