#### SECURITY NATIONAL FINANCIAL CORP

Form 4 January 30, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \*

1(b).

Wilbur Norman G Issuer Symbol SECURITY NATIONAL (Check all applicable) FINANCIAL CORP [SNFCA] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 11825 LAMPWICK LANE 12/06/2013

2. Issuer Name and Ticker or Trading

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

Person

FRISCO, TX 75035

Stock

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially Beneficial (D) or Indirect (I) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Class A Common S 1,000 D D 01/28/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivati Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 3.76 (1)	12/07/2006		A	1,408 (1)	12/07/2007	12/07/2016	Class A Common Stock	1,408 (1)
Director Stock Option (right to buy)	\$ 2.79 (2)	12/07/2007		A	1,341 (2)	12/07/2008	12/07/2017	Class A Common Stock	1,341 (2)
Director Stock Option (right to buy)	\$ 3.01 (3)	03/31/2008		A	3,191 ( <u>3)</u>	03/31/2009	03/31/2018	Class A Common Stock	3,191 ( <u>3)</u>
Director Stock Option (right to buy)	\$ 2.89 (4)	12/04/2009		A	6,078 (4)	12/04/2010	12/04/2019	Class A Common Stock	6,078 (4)
Director Stock Option (right to buy)	\$ 2.89 (5)	12/07/2009		A	1,216 (5)	12/07/2010	12/07/2019	Class A Common Stock	1,216 (5)
Director Stock Option (right to buy)	\$ 1.58 <u>(6)</u>	12/03/2010		A	5,789 ( <u>6)</u>	12/03/2011	12/03/2020	Class A Common Stock	5,789 ( <u>6)</u>
Director Stock Option (right to buy)	\$ 1.48 <u>(7)</u>	04/13/2012		A	5,250 (7)	04/13/2013	04/13/2022	Class A Common Stock	5,250 (7)

Director Stock Option (right to buy)	\$ 7.05 (8)	12/07/2012	A	1,050 (8)	12/07/2013	12/07/2022	Class A Common Stock	1,050 (8)
Director Stock Option (right to buy)	\$ 4.85 (9)	12/06/2013	A	4,842 ( <u>9)</u>	12/06/2014 <u>(9)</u>	12/06/2023	Class A Common Stock	4,842 ( <u>9)</u>
Director Stock Option (right to buy)	\$ 4.85 (10)	12/07/2013	A	1,000 (10)	12/07/2014(10)	12/07/2023	Class A Common Stock	1,000 (10)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r g	Director 10% Owner Officer		Officer	Other		
Wilbur Norman G						
11825 LAMPWICK LANE	X					
FRISCO, TX 75035						

# **Signatures**

/s/ Norman G

WIlbur 01/30/2014

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 7, 2006 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$5.31 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 9, 2007, February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on December 7, 2007 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$3.75 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 8, 2008, February 6, 2009, February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on March 31, 2008 as an option for 2,500 shares of Class A Common Stock under the 2006 Director Stock

  (3) Option Plan at an exercise price of \$3.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 6, 2009, February 5, 2010, February 4, 2011 and February 3, 2012.
- This option was granted on December 4, 2009 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.

**(5)** 

Reporting Owners 3

This option was granted on December 7, 2009 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$3.52 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 5, 2010, February 4, 2011, February 3, 2012 and February 1, 2013.

- This option was granted on December 3, 2010 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  (6) Option Plan at an exercise price of \$1.83 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 4, 2011, February 3, 2012 and February 1, 2013.
- This option was granted on April 13, 2012 as an option for 5,000 shares of Class A Common Stock under the 2006 Director Stock

  (7) Option Plan at an exercise price of \$1.56 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5% stock dividend paid on February 1, 2013.
- This option was granted on December 7, 2012 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock

  (8) Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 plan to reflect a 5% stock dividend paid on February 1, 2013.
- (9) This option was granted on December 6, 2013 as an option for 4,842 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share. This option vests on December 6, 2014.
- (10) This option was granted on December 7, 2013 as an option for 1,000 shares of Class A Common Stock under the 2006 Director Stock Option Plan at an exercise price of \$4.85 per share. This option vests on December 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.