Rubicon Technology, Inc. Form SC 13G/A February 13, 2012

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No. 2)\*

Rubicon Technology Inc.		
(Name of Issuer)		
COMMON		
(Title of Class of Securities)		
78112T107		
(CUSIP Number)		
August 04, 2011		
(Date of Event which Requires Filing of this Statement)		
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:		
[ ] Rule 13d-1(b) [X] Rule 13d-1(c) [ ] Rule 13d-1(d)		
* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to		

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see Instructions).

CUSIP No.: 78112T107

1 NAME OF REPORTING PERSON SAM Sustainable Asset Management AG

I.R.S. IDENTIFICATION NO. OF

<sup>\*</sup> The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

# Edgar Filing: Rubicon Technology, Inc. - Form SC 13G/A

	Edgar Filing: Rubicon Technolog	
	ABOVE PERSON (ENTITIES ONLY)	
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) [ ] (b) [ ]	
3	SEC USE ONLY	
4	CITIZENSHIP OR PLACE OF ORGANIZATION Switzerland	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	5 SOLE VOTING POWER	
	LY 6 SHARED VOTING POWER	
	/ SOLE DISPOSITIVE POWER	
9	8 SHARED DISPOSITIVE POWER AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES []	
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)	
12	TYPE OF REPORTING PERSON OO	
CUSIP No.: 781	12T107	
TTEM 1(a)	(a). NAME OF ISSUER:	
	bicon chnology Inc.	
АΓ	DDRESS OF SUER'S	

ITEM 1(b). PRINCIPAL

EXECUTIVE OFFICES: 9931 Franklin Avenue Franklin Park, IL 60131 United States

SCHEDULE 13G 2

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NAME OF
ITEM 2(a). PERSON
            FILING:
            SAM
            Sustainable
            Asset
            Management
            AG
            ADDRESS OF
            PRINCIPAL
            BUSINESS
ITEM 2(b).
            OFFICE OR, IF
            NONE.
            RESIDENCE:
            Josefstrasse 218.
            8005 Zrich
ITEM 2(c). CITIZENSHIP:
            Switzerland
            TITLE OF
ITEM 2(d). CLASS OF
            SECURITIES:
            COMMON
            CUSIP
ITEM 2(e).
            NUMBER:
            78112T107
ITEM
        IF THIS STATEMENT IS FILED PURSUANT TO SECTION 240.13d-1(b), or 13d-2(b) or (c) CHECK
        WHETHER THE PERSON FILING IS A:
3.
        (a) Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78c);
        (b) Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
        (c) [ ] Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
        (d) [ ] Investment company registered under Section 8 of the Investment Company Act of 1940
            (15 U.S.C 80a-8):
        (e) An investment adviser in accordance with 240.13d-1(b)(1)(ii)(E);
        (f) An employee benefit plan or endowment fund in accordance with 240.13d-1(b)(1)(ii)(F);
        (g) A parent holding company or control person in accordance with 240.13d-1(b)(1)(ii)(G);
        (h) [ ] A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act
            [ ] A church plan that is excluded from the definition of an investment company under Section
            3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
        (j) A non-U.S. institution in accordance with 240.13d-1(b)(1)(ii)(J);
            [ ] Group, in accordance with 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with 240.13d1(b)(1)(ii)(J), please specify the type of institution:
        If this statement is filed pursuant to Rule 13d-1(c) check this box: [X]
```

SCHEDULE 13G 3

## **ITEM**

# 4. OWNERSHIP:

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

0

(b) Percent of class:

0

- (c) Number of shares as to which the person has:
  - (i) Sole power to vote or to direct the vote:

0

(ii) Shared power to vote or to direct the vote:

0

(iii) Sole power to dispose or to direct the disposition of:

0

(iv) Shared power to dispose or to direct the disposition of:

0

#### **OWNERSHIP OF**

#### ITEM 5. FIVE PERCENT OR

#### LESS OF A CLASS:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [X].

OWNERSHIP OF MORE THAN FIVE

PERCENT ON

ITEM 6. BEHALF OF

**ANOTHER** 

PERSON:

SAM Sustainable

Asset Management

AG is filing this

Schedule 13G in its

capacity as

investment adviser

with discretionary

SCHEDULE 13G 4

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authority with respect

to various advisory

clients. No individual

advisory client is

known to have

beneficial ownership

of 5% of the

outstanding shares of

Rubicon Technology

Inc.

**IDENTIFICATION** 

**AND** 

**CLASSIFICATION** 

OF THE

**SUBSIDIARY** 

ITEM 7. WHICH ACQUIRED

THE SECURITY

**BEING REPORTED** 

ON BY THE

PARENT HOLDING

**COMPANY:** 

n/a

**IDENTIFICATION** 

**AND** 

ITEM 8. CLASSIFICATION

OF MEMBERS OF

THE GROUP:

n/a

NOTICE OF

ITEM 9. DISSOLUTION OF

GROUP:

n/a

ITEM 10. CERTIFICATION:

n/a

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date

SAM Sustainable Asset Management AG

/s/ Christian Hasler

Signature

Christian Hasler, Head Legal & Compliance

Name/Title

SIGNATURE 5

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Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (see 18 U.S.C. 1001).

SIGNATURE 6