Edgar Filing: CVD EQUIPMENT CORP - Form 4

CVD EQUIE	PMENT CORP										
Form 4											
January 09, 2	2015										
FORM	14									PROVAL	
	UNITED	STATES		shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer							Expires:	January 31, 2005			
subject to Section 1 Form 4 o	F CHAN	IGES IN SECUR		NERSHIP OF	Estimated average burden hours per response						
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> ROSENBAUM LEONARD A			2. Issuer Name and Ticker or Trading Symbol CVD EQUIPMENT CORP [CVV]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)		-		- [-	1	(Check	c all applicable)	
(Last) (First) (Middle) C/O CVD EQUIPMENT			3. Date of Earliest Transaction (Month/Day/Year) 01/07/2015					X DirectorX 10% Owner X Officer (give title Other (specify			
CORPORA	TION, 355 SOU OGY DRIVE	ГН	01/07/2	015				below) Pres, C	below) CEO, Chairmar	1	
	(Street)	(Street) 4. If Ame Filed(Mor			nte Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CENTRAL	ISLIP, NY 1172	2						Form filed by M Person			
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative S	Securi	ities Acq	uired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		n Date, if	Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	01/07/2015			S	11,150	D	\$ 15.04 (1)	826,720	D		
Common Stock	01/08/2015			S	8,850	D	\$ 15.1 (2)	817,870	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ROSENBAUM LEONARD A C/O CVD EQUIPMENT CORPORATION 355 SOUTH TECHNOLOGY DRIVE CENTRAL ISLIP, NY 11722	Х	Х	Pres, CEO, Chairman				
Signatures							
¥ 1.4							

Leonard A.	
Rosenbaum	01/09/2015
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This price represents the weighted average price for multiple transactions reported on this line. The per share price of each of the transactions reported on this line ranged from \$15.00 to \$15.06. Upon request by the SEC staff, the Issuer or a security holder of the

(1) Insuccious reported on this line ranged from \$15,00 to \$15,00. Opon request by the SEC start, the fistue of a security holder of the transactions were effected. These shares were sold pursuant to a Section 10b5-1 Plan.

This price represents the weighted average price for multiple transactions reported on this line. The per share price of each of the transactions reported on this line ranged from \$15.00 to \$15.22. Upon request by the SEC staff, the Issuer or a security holder of the

(2) Insuer, the Reporting Person will undertake to provide full information regarding the number of shares and prices at which the transactions were effected. These shares were sold pursuant to a Section 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.