

Edgar Filing: CROWN JAMES S - Form 4

CROWN JAMES S

Form 4

April 17, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 James S. Crown  
 Henry Crown and Company  
 222 North LaSalle Street  
 Suite 2000  
 IL, Chicago 60601
2. Issuer Name and Ticker or Trading Symbol  
 BANK ONE CORPORATION (ONE)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year  
 4/15/2003
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Trans- action Date	2A. Exec- ution Date	3. Trans- action Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/ D	Price	5. Amount of Securities Beneficially Owned Following Reported Trans(s)
Common Stock							74289
Common Stock							1172063
Common Stock							250300
Common Stock							42905
Common Stock							107811
Common Stock							3269
Common Stock							6634
Common Stock							667146
Common Stock							221433
Common Stock							77794

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Common Stock									5000
Common Stock									10000
Common Stock									1517820
Common Stock									155004
Common Stock									6385
Common Stock									4560465
Common Stock									6634
Common Stock									1753

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date (Month/Day/Year)	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities	8. Purchase or Sale of Underlying Securities
Director Stock Option (Right to Buy)	\$36.85	4/15/2003		A	2000	A 10/15/2003 - 4/15/09	Common Stock	2000
Director Stock Option (right to buy)	\$36.75					05/15/11	Common Stock	
Director Stock Option (right to buy)	\$41.21					04/16/2012	Common Stock	
Director Stock Option (right to buy)	\$53.99					5/8/08	Common Stock	
Director Stock Option (right to buy)	\$61.06					5/18/09	Common Stock	
Director Stock Option (right to buy)	\$36.38					5/9/07	Common Stock	
Director Stock Option (right to buy)	\$24.03					8/1/06	Common Stock	

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Director Stock Option (right to buy)	\$35.41								4/17/2011	Common Stock	
Director Stock Option (right to buy)	\$30.66								5/16/2010	Common Stock	18
Stock Units							19	19		Common Stock	

Explanation of Responses:

1. Owned by a partnership of which a corporation of which Reporting Person is a director, officer and shareholder and a trust of which Reporting Person is a beneficiary are partners. (Areljay, L.P.).
2. Owned by a partnership of which the Reporting Person is a partner (Crown Fund II).
3. Owned by a trust of which Reporting Person is a beneficiary (Edward Crown Income Charitable Fund).
4. Owned by a trust of which Reporting Person is a beneficiary (Edward Memorial Trust).
5. Owned by a trust of which one of Reporting Person's children is a beneficiary (HCC Trust).
6. Owned by a trust of which one of Reporting Person's children is a beneficiary (HCC Trust No. 1).
7. Owned by a partnership of which Reporting Person is a partner (Henry Crown and Company (Not Incorporated)).
8. Owned by a trust of which Reporting Person is a beneficiary (Henry Crown Grandchildren Trust).
9. Owned by a trust of which Reporting Person is a beneficiary (Henry Crown Trust).
10. Owned by a trust of which Reporting Person is a beneficiary (James S. Crown 65 Trust).
11. Owned by a trust of which Reporting Person is a beneficiary (James S. Crown Trust).
12. Owned by a partnership, Pines Trailer Limited Partnership, of which a corporation of which Reporting Person is a shareholder and a partnership of which Reporting Person is a partner are partners.
13. Owned by a trust of which Reporting Person is a co-trustee and a beneficiary (Rebecca K. Crown Income Charitable Fund).
14. Owned by Reporting Person's wife.
15. Owned by a partnership of which Reporting Person is a partner (The Crown Fund).
16. Owned by a trust of which one of Reporting Person's children is a beneficiary (VSC Trust No. 1)
17. Owned by a trust of which one of Reporting Person's children is a beneficiary (WAHC Trust No. 1).
18. Granted in lieu of \$60,000 cash retainer.
19. Stock units granted under the Corporation's Director Stock Plan. Each unit represents the right to receive a share of the Corporation's common stock after Reporting Person's retirement, on a 1 for 1 basis, and dividend equivalent rights which will be reinvested in additional stock units.

SIGNATURE OF REPORTING PERSON

James S. Crown

JAMES S. CROWN

Goldman, Attorney-in-Fact

By: Laurence