

GREATBATCH, INC.
Form 4
July 07, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hobby Jean M.

(Last) (First) (Middle)

10000 WEHRLE DRIVE

(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GREATBATCH, INC. [GB]

3. Date of Earliest Transaction
(Month/Day/Year)
07/02/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	07/02/2015		A	841 ⁽¹⁾ A	\$ 0 841	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Underlying Securities (Instr. 3 and 4)
Stock Option	\$ 53.5	07/02/2015		A	1,310 (2)	07/02/2015 07/02/2025	Common	1,310
Stock Option	\$ 53.5	07/02/2015		A	7,410 (3)	01/01/2016 07/02/2025	Common	7,410

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hobby Jean M. 10000 WEHRLE DRIVE CLARENCE, NY 14031		X		

Signatures

/s/ Christopher J. Thome as attorney-in-fact for Jean M. Hobby
 07/07/2015

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock, 50% which vest on July 2, 2015 and the remainder vest 25% on the first day of each of the remaining fiscal quarters in 2015.
- (2) Grant of non-qualified stock options, 50% of which vest on July 2, 2015 and the remainder vest 25% on the first day of each of the remaining fiscal quarters in 2015.
- (3) Grant of non-qualified stock options that vest one-third per year on the last day of the Company's fiscal year 2015, 2016 and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Stephen J. Lockwood and Company LLC, an investment company (2000-Present) Benaree Pratt Wiley (62) Principal, The Wiley Group, Boston College, Trustee 2009 Trustee (1998) a firm specializing in strategy Blue Cross Blue Shield of and business development Massachusetts, Director (2005-Present) Commonwealth Institute, Director President and CEO, The Efficacy Institute, Director Partnership, an organization PepsiCo African-American, dedicated to increasing the Chair of Advisory Board representation of African The Boston Foundation, Americans in positions of Director leadership, influence and deci- Century

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Business Services, sion-making in Boston, MA Inc., a provider of out- (1991-2005) sourcing functions for small and medium size companies, *Director* CLASS II: James M. Fitzgibbons (73) Chairman of the Board, Bill Barrett Company, 2010 Trustee (1998) Davidson Cotton Company an oil and gas exploration (1998-2002) company, *Director* Roslyn M. Watson (58) Principal, Watson Ventures, American Express Bank, 2010 Trustee (1998) Inc., a real estate investment *Director* company (1993-Present) The Hyams Foundation Inc., a Massachusetts Charitable Foundation, *Trustee* National Osteoporosis Foundation, *Trustee* SBLI - USA, *Director*

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The table below indicates the dollar range of each Continuing Trustee's ownership of Fund shares and shares of other funds in the Dreyfus Family of Funds for which he or she is a Board member, in each case as of December 31, 2007.

Name of Continuing Trustee	Fund Shares	Aggregate Holdings of Funds
		in the Dreyfus Family of Funds for which Responsible as a Board Member
James M. Fitzgibbons	None	Over \$100,000
Stephen J. Lockwood	None	None
Roslyn M. Watson	None	None
Benaree Pratt Wiley	None	None

As of December 31, 2007, none of the Continuing Trustees or their immediate family members owned securities of Dreyfus or any person (other than a registered investment company) directly or indirectly controlling, controlled by or under common control with Dreyfus.

The address of each Continuing Trustee is 200 Park Avenue, New York, New York 10166. Each Continuing Trustee will continue to serve as a Trustee after this meeting.

Compensation Table. The aggregate amount of compensation paid to each Continuing Trustee by the Fund for the fiscal year ended March 31, 2008, and by all funds in the Dreyfus Family of Funds for which such Continuing Trustee was a Board member for the year ended December 31, 2007, was as follows:

Name of Continuing Trustee	Aggregate compensation from the Fund(*)	Total compensation from the Fund and Dreyfus fund complex paid to Continuing Trustee(**)
James M. Fitzgibbons	\$22,000	\$96,500 (29)
J. Tomlinson Fort***	\$22,750	\$102,800 (29)
Stephen J. Lockwood	\$19,000	\$84,000 (29)
Roslyn M. Watson	\$21,000	\$97,500 (29)
Benaree Pratt Wiley	\$22,000	\$139,822 (39)

* Amount does not include the cost of office space, secretarial services and health benefits for the Chairman and expenses reimbursed to Board members for attending Board meetings, which in the aggregate amounted to \$5,353.

** Represents the number of separate portfolios comprising the investment companies in the fund complex, including the Fund, for which the Continuing Trustee served as a Board member.

***Emeritus Board Member as of April 12, 2008.

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PART II

Part II sets forth information relevant to the executive officers of the Fund. Each officer of the Fund shall hold office until his or her successor is elected and has qualified.

Name and Position with Fund (Since)	Age	Principal Occupation and Business Experience For Past Five Years
J. DAVID OFFICER President (2006)	59	Chief Operating Officer, Vice Chairman and a director of Dreyfus and an officer of 76 investment companies (comprised of 160 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since April 1998.
PHILLIP N. MAISANO Executive Vice President (2007)	60	Chief Investment Officer, Vice Chair and a director of Dreyfus, and an officer of 76 investment companies (comprised of 160 portfolios) managed by Dreyfus. Mr. Maisano also is an officer and/or board member of certain other investment management subsidiaries of The Bank of New York Mellon Corporation, each of which is an affiliate of Dreyfus. He has been an employee of Dreyfus since November 2006. Prior to joining Dreyfus, Mr. Maisano served as Chairman and Chief Executive Officer of EACM Advisors, an affiliate of Dreyfus, since August 2004, and served as Chief Executive Officer of Evaluation Associates, a leading institutional investment consulting firm, from 1988 until 2004.
JAMES WINDELS Treasurer (2001)	49	Director of Mutual Fund Accounting of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since April 1985.
MICHAEL A. ROSENBERG Vice President and Secretary (2005)	48	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus.

Explanation of Responses:

He has been an employee of Dreyfus since October 1991.

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Name and Position with Fund (Since)	Age	Principal Occupation and Business Experience For Past Five Years
JAMES BITETTO Vice President and Assistant Secretary (2005)	41	Associate General Counsel and Secretary of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since December 1996.
JONI LACKS CHARATAN Vice President and Assistant Secretary (2005)	52	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. She has been an employee of Dreyfus since October 1988.
JOSEPH M. CHIOFFI Vice President and Assistant Secretary (2005)	46	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since June 2000.
JANETTE E. FARRAGHER Vice President and Assistant Secretary (2005)	45	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. She has been an employee of Dreyfus since February 1984.
JOHN B. HAMMALIAN Vice President and Assistant Secretary (2005)	44	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since February 1991.
ROBERT R. MULLERY Vice President and Assistant Secretary (2005)	56	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since

May 1986.

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Name and Position with Fund (Since)	Age	Principal Occupation and Business Experience For Past Five Years
JEFF PRUSNOFSKY Vice President and Assistant Secretary (2005)	43	Associate General Counsel of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since October 1990.
GAVIN C. REILLY Assistant Treasurer (2005)	39	Tax Manager of the Investment Accounting and Support Department of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since April 1991.
RICHARD CASSARO Assistant Treasurer (2008)	49	Senior Accounting Manager □ Money Market and Municipal Bond Funds of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since September 1982.
ROBERT S. ROBOL Assistant Treasurer (2005)	44	Senior Accounting Manager □ Fixed Income Funds of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since October 1988.
ROBERT SALVILO Assistant Treasurer (2007)	41	Senior Accounting Manager □ Equity Funds of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employee of Dreyfus since June 1989.
ROBERT SVAGNA Assistant Treasurer (2005)	41	Senior Accounting Manager □ Equity Funds of Dreyfus, and an officer of 77 investment companies (comprised of 177 portfolios) managed by Dreyfus. He has been an employ-

ee of Dreyfus since November 1990.

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Name and Position with Fund (Since)	Age	Principal Occupation and Business Experience For Past Five Years
JOSEPH W. CONNOLLY Chief Compliance Officer (2004)	51	Chief Compliance Officer of Dreyfus and The Dreyfus Family of Funds (77 investment companies, comprised of 177 portfolios). From November 2001 through March 2004, Mr. Connolly was first Vice-President, Mutual Fund Servicing for Mellon Global Securities Services. In that capacity, Mr. Connolly was responsible for managing Mellon's Custody, Fund Accounting and Fund Administration services to third-party mutual fund clients. He has served in various capacities with Dreyfus since 1980, including manager of the firm's Fund Accounting Department from 1997 through October 2001.

The address of each officer of the Fund is 200 Park Avenue, New York, New York 10166

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PART III

Part III sets forth information for the Fund regarding the beneficial ownership of its shares as of June 2, 2008 by Nominees, Continuing Trustees and officers of the Fund owning shares on such date and by shareholders owning 5% or more of the Fund's outstanding shares.

As of June 2, 2008, the following Nominees, Continuing Trustees and officers owned shares in the Fund as indicated below:

Nominees	Shares of Beneficial Interest Owned
Joseph S. DiMartino	100,000
Kenneth A. Himmel	none
Continuing Trustees	
None	
Officers	
None	

As of June 2, 2008, the Fund's Nominees, Continuing Trustees and officers, as a group, beneficially owned less than 1% of the Fund's outstanding shares. As of December 31, 2007, First Trust Portfolios L.P., First Trust Advisors L.P., and The Charger Corporation, each located at 1001 Warrenville Road, Lisle, Illinois 60532, beneficially owned, in the aggregate, 8,231,057 shares of the Fund, amounting to approximately 11.5% of the

Explanation of Responses:

Fund's shares as of that date. These holdings were reported to the Securities and Exchange Commission (the SEC) on Schedule 13G/A pursuant to Rule 13d-1(b) under the Securities Exchange Act of 1934, as amended, on February 8, 2008. The Fund has no reason to believe that information has materially changed. Cede & Co., Bowling Green Station, New York, NY, held of record approximately 98.9% of the outstanding shares of beneficial interest of the Fund, as of June 2, 2008.

Section 16(a) Beneficial Ownership Reporting Compliance

Under Section 16(a) of the Securities Exchange Act of 1934, as amended, Section 30(h) of the 1940 Act, and SEC regulations thereunder, the Fund's officers and Trustees, persons owning more than 10% of the Fund's shares of beneficial interest, and certain additional persons are required to report their transactions in the Fund's shares to the SEC, the New York Stock Exchange and the Fund. Based solely on written representations of such persons and on copies of reports that have been filed with the SEC, the Fund believes that, during the fiscal year ended March 31, 2008, all filing requirements applicable to such persons were complied with.

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EXHIBIT B

Dreyfus High Yield Strategies Fund

May 21, 2008

REPORT OF THE AUDIT COMMITTEE

The audit committee oversees the Fund's financial reporting process on behalf of the Board of Trustees. Management has the primary responsibility for the financial statements and the reporting process including the systems of internal controls. In fulfilling its oversight responsibilities, the committee reviewed the audited financial statements in the Annual Report with management including a discussion of the quality, not just the acceptability, of the accounting principles, the reasonableness of significant judgments, and the clarity of disclosures in the financial statements.

The committee reviewed with the independent registered public accounting firm, who is responsible for expressing an opinion on the conformity of those audited financial statements with generally accepted accounting principles, their judgments as to the quality, not just the acceptability, of the Fund's accounting principles and such other matters as are required to be discussed with the committee under the standards of the Public Company Accounting Oversight Board (United States). In addition, the committee has discussed with the independent registered public accountants the registered public accountants' independence from management and the Fund, including the registered public accountants' letter and the matters in the written disclosures required by the Independence Standards Board, and considered the compatibility of non-audit services with the registered public accountants' independence.

The committee discussed with the Fund's independent registered public accountants the overall scope and plans for the audits. The committee met with the independent registered public accountants, with and without management present, to discuss the results of their audits, their evaluations of the Fund's internal controls, and the overall quality of the Fund's financial reporting.

In reliance on the reviews and discussions referred to above, the committee recommended to the Board of Trustees (and the Board has approved) that the audited financial statements be included in the Annual Report to Shareholders for the year ended March 31, 2008. The committee and the Board also have approved the selection of KPMG LLP as the Fund's independent registered public accountants.

Joseph S. DiMartino, Audit Committee Chair James M. Fitzgibbons, Audit Committee Member Kenneth A. Himmel, Audit Committee Member

Stephen J. Lockwood, Audit Committee Member Roslyn M. Watson, Audit Committee Member Benaree Pratt Wiley, Audit Committee Member

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DREYFUS HIGH YIELD STRATEGIES FUND

The undersigned shareholder of Dreyfus High Yield Strategies Fund (the "Fund") hereby appoints Robert R. Mullery and James Bitetto, and each of them, the attorneys and proxies of the undersigned, with full power of substitution, to vote, as indicated herein, all of the shares of the Fund standing in the name of the undersigned at the close of business on June 2, 2008 at the Annual Meeting of Shareholders to be held at the offices of The Dreyfus Corporation, 200 Park Avenue, 7th Floor East, New York, New York, commencing at 2:00 p.m. on Thursday, August 7, 2008; and at any and all adjournments thereof, with all of the powers the undersigned would possess if then and there personally present and especially (but without limiting the general authorization and power hereby given) to vote as indicated on the proposal, as more fully described in the Proxy Statement for the meeting.

Address Change/Comments (Mark the corresponding box on the reverse side)

FOLD AND DETACH HERE
