Edgar Filing: CTI INDUSTRIES CORP - Form 4

CTI INDUS Form 4 January 05,	TRIES CORP 2011										
FORM	14 UNITED	STATES					GE COMMI	ISSION	OMB A OMB Number:	PPROVA. 3235-	
Check the if no lor subject to Section Form 4 Form 5 obligation may cor <i>See</i> Inst 1(b).	nger so 16. or Filed pur Dns stinue. Section 17(Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Expires: January 31, 2005 Estimated average burden hours per		y 31,
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> SCHWAN JOHN H			2. Issuer Name and Ticker or Trading Symbol CTI INDUSTRIES CORP [CTIB]			Issuer					
(Last) (First) (Middle) 22160 NORTH PEPER ROAD			3. Date of Earliest Transaction (Month/Day/Year) 12/30/2010				(Check all applicable) X_ DirectorX_ 10% Owner X_ Officer (give title Other (specify below) Chairman, CEO				
				Amendment, Date Original (Month/Day/Year)			Applicab _X_ Forr	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities	s Acquired, Di	isposed of,	, or Beneficia	lly Owned	1
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount Securities Beneficiall Owned Following Reported Transaction (Instr. 3 an	Fe y (I (I (I n(s)	Ownership orm: Direct O) or Indirect) nstr. 4)	7. Nature Indirect Beneficia Ownershi (Instr. 4)	ıl
Reminder: Re	port on a separate line	e for each cla	iss of sec	urities bene	Perso	ons who r nation co	ly or indirectly. respond to the transformed in the transforme	ne collect nis form a	are not	SEC 1474 (9-02)	

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2009 Stock Option Grant - Right to Buy	\$ 5.97	12/30/2010		А	5,250	06/30/2011	12/30/2014	Common Stock	5,250
2009 Stock Option Grant - Right to Buy	\$ 5.97	12/30/2010		A	5,250	06/30/2012	12/30/2014	Common Stock	5,250
2009 Stock Option Grant - Right to Buy	\$ 5.97	12/30/2010		А	5,250	06/30/2013	12/30/2014	Common Stock	5,250
2009 Stock Option Grant - Right to Buy	\$ 5.97	12/30/2010		A	5,250	06/30/2014	12/30/2014	Common Stock	5,250

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
SCHWAN JOHN H 22160 NORTH PEPER ROAD BARRINGTON, IL 60010	Х	Х	Chairman, CEO						
Signatures									
Jonathan K. Miller, Attorney in Schwan	ohn H.	01/04/201	1						
** Signature of Reporting		Date							

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.