Edgar Filing: NATIONAL HEALTHCARE CORP - Form 4

NATIONAL HEALTHCARE CORP

Form 4

November 04, 2015

| November 04, 2 | | | | | | | | | | |
|---|---|---|---|--|--|---|--|--|--|--|
| FORM 4 | 4 IINITED ST | 'ATES SECTIRI' | ΓIES AND EXCHANGE | COMMISSION | | PPROVAL | | | | |
| | UNITEDSI | | ington, D.C. 20549 | COMMISSION | OMB Number: | 3235-0287 | | | | |
| Check this be | ox | | 9 , | | Expires: | January 31, | | | | |
| if no longer subject to Section 16. Form 4 or | STATEME | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIES | | | | 2005 average rs per 0.5 | | | | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type Resp | ponses) | | | | | | | | | |
| 1. Name and Addr ADAMS W Al | ress of Reporting Pers | Symbol NATION | Tame and Ticker or Trading AL HEALTHCARE COR | Issuer P | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| | | [NHC] | | (enec | u uppu | , | | | | |
| (Last) 222 ROBERT | (First) (Midd | 3. Date of E (Month/Day 11/03/201 | | X Director Officer (give below) | | Owner er (specify | | | | |
| 222 ROBERT | | | | | | | | | | |
| | (Street) | 4. If Amend Filed(Month | ment, Date Original /Day/Year) | 6. Individual or Jo Applicable Line) _X_ Form filed by C | | | | | | |
| MURFREESB | ORO, TN 37129 | | | Form filed by M Person | Iore than One Re | porting | | | | |
| (City) | (State) (Zip | p) Table l | - Non-Derivative Securities A | cquired, Disposed of | , or Beneficial | ly Owned | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) . (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | |
| GI C | | | Code V Amount (D) Pr | ice (mstr. 3 and 4) | | | | | | |
| Shares of Common Stock - AdamsMark L.P. | | | | 610,813 | D | | | | | |
| Shares of | | | | | | | | | | |
| Common Stock-WAA Exempt Trust | | | | 1,937 | D | | | | | |
| Shares of Common Stock - | | | | 35,407 | I | Trustee | | | | |

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Adams Family Foundation II

Stock -

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | Securit Acquir Dispos | tive ies | 6. Date Exerci Expiration Dat (Month/Day/Y | re e | 7. Title and A Underlying S (Instr. 3 and | Securiti |
|---|---|---|---|--|-----------------------------|----------------|--|--------------------|---|-----------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amor Numl Share |
| Option to Purchase Common Stock | \$ 45.8 | | | | | | 05/09/2011 | 05/08/2016 | Common Stock | 4,9 |
| Option to Purchase Common Stock | \$ 44.8 | | | | | | 05/03/2012 | 05/02/2017 | Common Stock | 7,5 |
| Option to Purchase Common Stock | \$ 47.45 | | | | | | 05/08/2013 | 05/07/2018 | Common Stock | 7,5 |
| Option to Purchase Common Stock | \$ 52.93 | | | | | | 05/08/2014 | 05/07/2019 | Common Stock | 7,5 |
| Option to Purchase Common Stock | \$ 61.25 | | | | | | 05/07/2015 | 05/06/2020 | Common Stock | 7,5 |
| Shares of Series A Convertible Preferred | (1) | 11/03/2015 | | J | 4 | 479,682 (2) | 11/01/2007 | 11/03/2015 | Common Stock | 116 |

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| AMK, L.P. | | | | | | | | |
|--|------------|------------|---|---------------|------------|------------|-----------------|----|
| Shares of Series A Conv Pref Stock - WAA Exempt Trust | (1) | 11/03/2015 | J | 3,500 (2) | 11/01/2007 | 11/03/2015 | Common Stock | 8 |
| Shares of Series A Conv Pref Stock - Adams Family Found II | <u>(1)</u> | 11/03/2015 | J | 35,407 (2) | 11/01/2007 | 11/03/2015 | Common Stock | 8, |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| 1 8 | Director | 10% Owner | Officer | Other | | |
| ADAMS W ANDREW 222 ROBERT ROSE DRIVE MURFREESBORO, TN 37129 | X | | | | | |

Signatures

W. Andrew Adams by Kristina Hulsey,
P.O.A. 11/04/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convertible anytime at a ratio of 0.24204 common shares per 1.0 preferred share.
- (2) The reported securities were called for redemption by the issuer at a price of \$15.79 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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