

Edgar Filing: SHANKS ROBERT W - Form 5

SHANKS ROBERT W  
Form 5  
February 14, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

---

1. Name and Address of Reporting Person\*

Shanks	Robert	W.
-----	-----	-----
(Last)	(First)	(Middle)
CityPlace One, Suite 300		
-----		
(Street)		
St. Louis	MO	63141
-----	-----	-----
(City)	(State)	(Zip)

---

2. Issuer Name and Ticker or Trading Symbol

Arch Coal, Inc. (ACI)

---

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

---

4. Statement for Month/Year

December 31, 2001

---

5. If Amendment, Date of Original (Month/Year)

---

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner

**Edgar Filing: SHANKS ROBERT W - Form 5**

Officer (give title below)                       Other (specify below)  
 Vice President - Operations

7. Individual or Joint/Group Filing  
 (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

=====  
 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	
			Amount	(A) or (D) Price
Common Stock				
Common Stock	(1)	I	114	D (1)

(1) Disposed of on various dates in 2001 pursuant to Arch Coal Inc.'s 401(k) plan at prices from

\* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: SHANKS ROBERT W - Form 5

(Print or Type Responses)

(Over)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

=====

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Employee Stock Option	\$21.95	2/22/01	A	7,800	(1) 2/22/11	Common Stock 7,800
Employee Stock Option	\$22.90	6/27/01	A	47,200	1/1/04 6/30/06	Common Stock 47,200

(1) Vests in three equal annual installments commencing on 2/22/02.

Explanation of Responses:

Edgar Filing: SHANKS ROBERT W - Form 5

/s/ Janet L. Horgan

February 14, 2002

-----  
By: Janet L. Horgan, as Attorney-in-Fact

-----  
Date

\*\*Signature of Reporting Person

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal  
Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2