Edgar Filing: SONIC FOUNDRY INC - Form 3

SONIC FOUNDRY INC

Form 3

March 02, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Number: 3235-0104

OMB APPROVAL

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Burish Andrew D.			2. Date of Event Requiring Statement(Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol SONIC FOUNDRY INC [SOFO]					
(Last)	(First)	(Middle)	02/27/2017	4. Relationship of Reporting Person(s) to Issuer			_X_ Form filed by One Reporting Person		
8020 EXCELSIOR DRIVE (Street) MADISON, WI 53717				(Check Director Officer (give title below	Other				
1,11,12,12,01,13	, ,	, - ,					Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securiti	es Be	neficially Owned		
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•		
Common Sto	ock		435,000		D	Â			
Common Sto	ock		14,000		I	Buris	Burish is trustee for Raquel sh Trust and disclaims ficial ownership in the es.		
Reminder: Repo	_		ach class of securities benefic	^{ially} S	EC 1473 (7-02)			
	infor	mation conta	pond to the collection of ained in this form are not and unless the form displ	1					

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exerci Expiration Dat (Month/Day/Year) Date Exercisable		3. Title and A Securities Ur Derivative So (Instr. 4) Title	nderlying	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock Warrants	12/22/2014	12/22/2019	Common Stock	38,897	\$ 14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
Burish Andrew D. 8020 EXCELSIOR DRIVE MADISON, WI 53717	Â	ÂX	Â	Â			

Signatures

Andrew D.
Burish

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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