

UNITED TECHNOLOGIES CORP /DE/  
 Form 4  
 September 20, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CHENEVERT LOUIS**

(Last) (First) (Middle)

**UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA**

(Street)

**HARTFORD, CT 06101**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**UNITED TECHNOLOGIES CORP /DE/ [UTX]**

3. Date of Earliest Transaction (Month/Day/Year)  
**09/19/2007**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**President and COO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Common Stock                    | 09/19/2007                           |  | M                              |   | 100,000   | A  | \$ 27   |
| Common Stock                    | 09/19/2007                           |  | F                              |   | 34,221  | D  | \$ 78.9   |
| Common Stock                    | 09/20/2007                           |  | S                              |   | 100   | D  | \$ 78.609   |
| Common Stock                    | 09/20/2007                           |  | S                              |   | 1,400   | D  | \$ 78.61  |
|                                 | 09/20/2007                           |  | S                              |   | 1,000   | D  | \$ 78.62  |

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|              |            |   |        |   |           |                                      |   |                         |
|--------------|------------|---|--------|---|-----------|--------------------------------------|---|-------------------------|
| Common Stock |            |   |        |   |           |                                      |   |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.628 | 112,809                              | D |                         |
| Common Stock | 09/20/2007 | S | 200    | D | \$ 78.63  | 112,609                              | D |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.635 | 112,509                              | D |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.638 | 112,409 <sup>(1)</sup><br><u>(2)</u> | D |                         |
| Common Stock |            |   |        |   |           | 2,993.046                            | I | By Savings Plan Trustee |
| Common Stock | 09/19/2007 | F | 27,281 | D | \$ 78.9   | 126,009                              | D |                         |
| Common Stock | 09/20/2007 | S | 1,100  | D | \$ 78.5   | 124,909                              | D |                         |
| Common Stock | 09/20/2007 | S | 1,000  | D | \$ 78.51  | 123,909                              | D |                         |
| Common Stock | 09/20/2007 | S | 1,200  | D | \$ 78.52  | 122,709                              | D |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.525 | 122,609                              | D |                         |
| Common Stock | 09/20/2007 | S | 800    | D | \$ 78.53  | 121,809                              | D |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.539 | 121,709                              | D |                         |
| Common Stock | 09/20/2007 | S | 1,100  | D | \$ 78.54  | 120,609                              | D |                         |
| Common Stock | 09/20/2007 | S | 300    | D | \$ 78.545 | 120,309                              | D |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.547 | 120,209                              | D |                         |
| Common Stock | 09/20/2007 | S | 300    | D | \$ 78.549 | 119,909                              | D |                         |
| Common Stock | 09/20/2007 | S | 800    | D | \$ 78.55  | 119,109                              | D |                         |
| Common Stock | 09/20/2007 | S | 100    | D | \$ 78.555 | 119,009                              | D |                         |
| Common Stock | 09/20/2007 | S | 800    | D | \$ 78.56  | 118,209                              | D |                         |

Stock

|              |            |   |       |   |           |         |   |
|--------------|------------|---|-------|---|-----------|---------|---|
| Common Stock | 09/20/2007 | S | 100   | D | \$ 78.565 | 118,109 | D |
| Common Stock | 09/20/2007 | S | 300   | D | \$ 78.57  | 117,809 | D |
| Common Stock | 09/20/2007 | S | 300   | D | \$ 78.58  | 117,509 | D |
| Common Stock | 09/20/2007 | S | 1,400 | D | \$ 78.59  | 116,109 | D |
| Common Stock | 09/20/2007 | S | 100   | D | \$ 78.595 | 116,009 | D |
| Common Stock | 09/20/2007 | S | 600   | D | \$ 78.6   | 115,409 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |              |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        |
| Non-Qualified Stock Option (right to buy)  | \$ 27  | 09/19/2007                           |  | M                              | 100,000   | 01/04/2002   | 01/03/2009  | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                   |       |
|---|---------------|-----------|-------------------|-------|
|   | Director      | 10% Owner | Officer           | Other |
| CHENEVERT LOUIS<br>UNITED TECHNOLOGIES CORPORATION<br>ONE FINANCIAL PLAZA<br>HARTFORD, CT 06101 | X             |           | President and COO |       |

## Signatures

By: /s/ Charles F. Hildebrand as  
Attorney-in-Fact

09/20/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 4 represents one of two Form 4s to be filed today due to the sale of 19,249 shares of United Technologies Corporation common stock on September 20, 2007.
  - (2) The reporting person also directly owns 2,400 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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