

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
November 27, 2006

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BUCKNALL WILLIAM L JR

2. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/22/2006

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ 10% Owner  
\_\_\_\_\_ Other (specify below)  
SVP, Human Resources & Org.

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

HARTFORD, CT 06101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/22/2006		M		120,000	A	\$ 27	183,739	D	
Common Stock	11/22/2006		M		30,920	A	\$ 31.25	214,659	D	
Common Stock	11/22/2006		S		13,800	D	\$ 65.8	200,859	D	
Common Stock	11/22/2006		S		30,000	D	\$ 65.82	170,859	D	
	11/22/2006		S		10,000	D	\$ 65.83	160,859	D	

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Common Stock									
Common Stock	11/22/2006		S	5,000	D	\$ 65.8384	155,859	D	
Common Stock	11/22/2006		S	5,000	D	\$ 65.8128	150,859	D	
Common Stock	11/22/2006		S	4,720	D	\$ 65.84	146,139	D	
Common Stock	11/22/2006		S	10,300	D	\$ 65.85	135,839	D	
Common Stock	11/22/2006		S	10,000	D	\$ 65.9	125,839	D	
Common Stock	11/22/2006		S	5,000	D	\$ 65.95	120,839	D	
Common Stock	11/22/2006		S	15,000	D	\$ 66.0517	105,839	D	
Common Stock	11/22/2006		S	5,000	D	\$ 65.8522	100,839	D	
Common Stock	11/22/2006		S	22,100	D	\$ 66.1	78,739	D	
Common Stock	11/22/2006		S	15,000	D	\$ 66	63,739 <sup>(1)</sup>	D	
Common Stock							1,872.82	I	By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	A
									N
									SI

Non-Qualified Stock Option (right to buy)	\$ 27	11/22/2006	M	120,000	01/04/2002	01/03/2009	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 31.25	11/22/2006	M	30,920	01/03/2003	01/02/2010	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BUCKNALL WILLIAM L JR UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101			SVP, Human Resources & Org.	

## Signatures

By: /s/ Charles F. Hildebrand as  
Attorney-in-Fact

11/27/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 27,448 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.