

DAVID GEORGE AL  
Form 4  
December 22, 2005

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DAVID GEORGE AL

2. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
03/04/2005

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

HARTFORD, CT 06101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Code    |            | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|------------|------------|---|-------|---|--|-----------------------------------|
|                                 |                                      |  | (Instr. 8) | (Instr. 8) | (A) or (D)  | Price |   |  |                                   |
| Common Stock                    | 03/04/2005                           |  | G          | V          | 350   | D     | \$ 0  | 784,333  | D                                 |
| Common Stock                    | 03/04/2005                           |  | G          | V          | 50  | D     | \$ 0  | 784,283  | D                                 |
| Common Stock                    | 03/15/2005                           |  | G          | V          | 250   | D     | \$ 0  | 784,033  | D                                 |
| Common Stock                    | 03/16/2005                           |  | G          | V          | 100   | D     | \$ 0  | 783,933  | D                                 |
|                                 | 04/20/2005                           |  | G          | V          | 150   | D     | \$ 0  | 783,783 <sup>(1)</sup>                                   | D                                 |

Common  
Stock

Common  
Stock

9,906.208 I

By Savings  
Plan  
Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                  |       |
|---|---------------|-----------|------------------|-------|
|   | Director      | 10% Owner | Officer          | Other |
| DAVID GEORGE AL<br>UNITED TECHNOLOGIES CORPORATION<br>ONE FINANCIAL PLAZA<br>HARTFORD, CT 06101 | X             |           | Chairman and CEO |       |

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 12/22/2005

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: DAVID GEORGE AL - Form 4

This Form 4 reflects gifts made prior to UTC's June 10, 2005 2 for 1 stock split in the form of a stock dividend. The share amounts shown (1) are pre-split numbers. Mr. David currently holds 1,667,566 shares of common stock and 97,064 shares of career restricted common stock post split.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.