

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC  
 Form 5  
 February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue.  
 See instruction 1(b).

Washington, D.C. 20549

Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of  
 Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of  
 Reporting Person\*

2. Issuer Name and Ticker or Trading Symbol

6. Re  
 Is

Dunes Hotels and Casinos, Inc.

\_\_\_ Di

(Last) (First) (Middle)

3. IRS or Social Security  
 Number of Reporting  
 Person (Voluntary)

4. Statement for  
 Month/Year

\_\_\_ Of  
 (g  
 b

General Financial Services, Inc.

12/2001

(Street)

5. If Amendment, Date of  
 Original (Month/Year)

7. Indi  
 (Che

8441 E. 32nd Street N

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 Pe  
 X Fo  
 --- Re

(City) (State) (Zip)

Table 1 - Non-Derivative Securities Acquired, Disposed of

Wichita, Kansas 67226

1. Title of Security  
 (Instr. 3)

2. Trans-  
 action  
 date:  
 (Month/  
 Day/  
 Year)

3. Trans-  
 action  
 Code  
 (Instr. 8)

4. Securities Acquired (A)  
 or Disposed of (D)  
 (Instr. 3, 4 and 5)

5. Amount of  
 Securities  
 Beneficial  
 Owned at  
 End of Iss  
 Fiscal Yea  
 (Instr. 3  
 and 4)

Amount (A) or Price  
 (D)

Common Stock

5/15/01

P

380

A

\$1.00

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Common Stock 5/17/01 P 75 A \$1.00

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 Common Stock 5/23/01 P 400 A \$1.00

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 Common Stock 5/24/01 P 10 A \$1.00

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 Common Stock 6/13/01 P 1,300 A \$1.00

-----  
 Common Stock 9/19/01 P 2,000 A \$1.00

-----  
 Common Stock 1,007,7

-----  
 Common Stock 3,000,0

-----  
 Series B Preferred Stock 2,0

\* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Re

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options, convertible securities)

| 1. Title of Derivative Security (Inst. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|---|--|--------------------------------------|--------------------------------|---|--|---|--|
| -----                                     |  |                                      |                                |   |  |   |  |
|   |  |                                      |                                | (A) (D)   | Date Expiration Date                                     | Title Amount or Number of Shares                              |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |
| -----                                     |  |                                      |                                |   |  |   |  |

Explanation of Responses: (1) The 1,007,774 shares of common stock are directly owned by GFS Acquisition Financial Services, Inc. ("GFS") as the sole shareholder of GFS Acquisition Company, Inc. and shares owned by GFS Acquisition Company, Inc. Steve K. Miller as the sole stockholder of GFS and GFS and GFS Acquisition Company, Inc. beneficially owns the shares of common stock owned directly (2) The 2,093 shares of Series B preferred stock and 3,000,000 shares of common stock are owned by sole stockholder and director of GFS and as a result beneficially owns the shares of Series B preferred GFS.

/s/ Steve K. Miller

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Steve K. Miller

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

GENERAL FINANCIAL SERVICES, I

By: /s/ Steve K. Miller

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Steve K. Miller, President

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GFS ACQUISITION COMPANY, INC.

By: /s/ Steve K. Miller

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Steve K. Miller, President

Form 5

Reporting Person

General Financial Services, Inc.

Date of Event Requiring Statement

Year 2002

Issuer Name

Dunes Hotels and Casinos Inc.

List of Other Joint Filers

Names and Addresses

Steve K. Miller; 8441 E. 32nd Street N, Wichita, Kansas 67226

GFS Acquisition Company, Inc., 8441 E. 32nd Street N., Wichita, Kansas 67226