

BLUE DOLPHIN ENERGY CO

Form 8-K

December 08, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO

SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported):

December 7, 2016

Blue Dolphin Energy Company

(Exact name of registrant as specified in its charter)

Delaware

(State or Other Jurisdiction  
of Incorporation)

0-15905

(Commission File Number)

73-1268729

(IRS Employer Identification  
No.)

801 Travis Street, Suite 2100

Houston, Texas 77002

(Address of principal executive office and zip code)

(713) 568-4725

(Registrant's telephone number, including area code)

(Not Applicable)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

Blue Dolphin Energy Company ("Blue Dolphin") held its Annual Meeting of Stockholders (the "Annual Meeting") on December 7, 2016. At the Annual Meeting, stockholders considered proposals to: (i) elect five (5) director nominees, all of whom shall serve until the next annual meeting of stockholders, or in each case until their successors are duly elected and qualified, or until their earlier resignation or removal, (ii) ratify the selection of UHY LLP ("UHY") as Blue Dolphin's independent public accounting firm for the fiscal year ending December 31, 2016; and (iii) transact any other business that may properly come before the Annual Meeting. These matters were set forth in Blue Dolphin's Proxy Statement as filed with the Securities and Exchange Commission on October 31, 2016 (the "Proxy Statement") pursuant to Regulation 14A under the Securities Exchange Act of 1934. The voting results are set forth below:

1. All director nominees were elected:

	Votes			
	For	Against	Withheld	Broker Non-Votes
Jonathan P. Carroll	8,651,864	0	524,660	0
Ryan A. Bailey	8,663,882	0	512,642	0
Amitav Misra	8,663,882	0	512,642	0
Christopher T. Morris	8,663,881	0	512,643	0
Herbert N. Whitney	8,645,522	0	531,002	0

2. UHY was ratified:

For	Against	Abstain	Broker Non-Votes
9,175,896	375	253	0

3. Any other business that properly came before the Annual Meeting was permitted to be transacted:

For	Against	Abstain	Broker Non-Votes
8,621,341	43,387	511,796	0

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: December 8, 2016

Blue Dolphin Energy Company

/s/ JONATHAN P. CARROLL\_\_\_\_\_

Jonathan P. Carroll

Chief Executive Officer, President,

Assistant Treasurer and Secretary

(Principal Executive Officer)

