

Stewart John H.
Form 3
February 12, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Stewart John H. | | (Month/Day/Year) | COLUMBUS MCKINNON CORP [CMCO] | |
| (Last) | (First) | 01/29/2018 | | |
| 205 CROSSPOINT PARKWAY | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| GETZVILLE, NY 14068 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | VP-Engineered Products | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 5,554 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Title | Amount or Number of | | |

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| | | | | Shares | | (1) (Instr. 5) | |
|--|------------|------------|--------------|----------------------|----------|-------------------|---|
| Non-Qualified Stock Options (Right to Buy) | 05/19/2009 | 05/19/2018 | Common Stock | 414 ⁽²⁾ | \$ 28.45 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/18/2010 | 05/18/2019 | Common Stock | 1,258 ⁽²⁾ | \$ 13.27 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/17/2011 | 05/17/2020 | Common Stock | 878 ⁽²⁾ | \$ 18.24 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/23/2012 | 05/23/2021 | Common Stock | 838 ⁽²⁾ | \$ 19.5 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/21/2013 | 05/21/2022 | Common Stock | 1,257 ⁽²⁾ | \$ 13.43 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/20/2014 | 05/20/2023 | Common Stock | 1,058 ⁽²⁾ | \$ 18.95 | D | Â |
| Non-Qualified Stock Option (Right to Buy) | 05/19/2015 | 05/19/2024 | Common Stock | 895 ⁽³⁾ | \$ 27.12 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/18/2016 | 05/18/2025 | Common Stock | 1,119 ⁽⁴⁾ | \$ 24.94 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/23/2017 | 05/23/2026 | Common Stock | 2,070 ⁽⁵⁾ | \$ 15.16 | D | Â |
| Non-Qualified Stock Options (Right to Buy) | 05/22/2018 | 05/22/2027 | Common Stock | 1,369 ⁽⁶⁾ | \$ 24.33 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Stewart John H. 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068 | Â | Â | Â VP-Engineered Products | Â |

Signatures

John H. Stewart 02/12/2018
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 1,196 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 89 shares become fully vested and non-forfeitable on 5/19/2018; 195 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/18/2018;
- (1) 497 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018 and the remaining 415 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

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- (2) All exercisable, subject to IRS limitations.
- (3) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/23/2017, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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